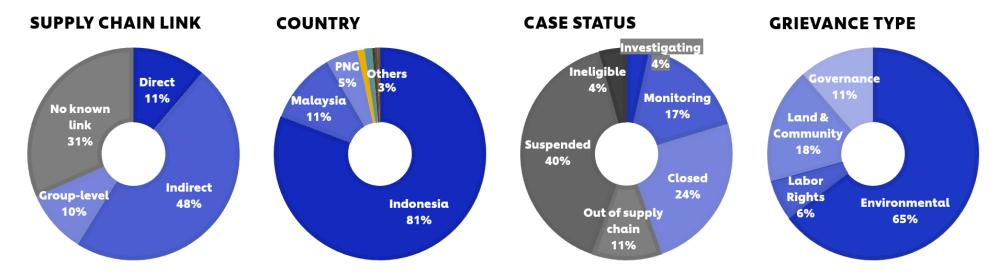
Unilever People and Nature Grievance Tracker Updated December 2024

This document aims to report on grievances that have been raised to Unilever concerning its supply chain of commodities that are in the scope of the Unilever <u>People and Nature Policy</u> ("Policy"). Unilever defines a grievance as a complaint sent to us about an alleged breach of our Policy. Unilever works closely with our business partners, grievance raisers, and other stakeholders to investigate and resolve issues within our supply chain in a timely, transparent, and effective manner.

To maintain a clear organisation of information and ensure a thorough investigation of grievance reports, many of which are complex and involve multiple supplier groups, we break down grievance cases ("Cases") into several sub-cases based on grievance type, grievance-holding entity, time, and grievance report or grievance raiser. There are 260 sub-cases in our database based on grievances that have been raised to us since 2014. A summary of the sub-cases is provided below.



- Supply chain link: Supply chain link between Unilever and grievance-holding entity based on Unilever's latest and historical traceability data.
- Country: The country where the grievance-holding entity is located, or the grievance took/is taking place.
- Case Status: We consider cases "Closed" when we deem the grievance-holding entity has adequately addressed the grievance raised. These cases may include those that have been closed at the Roundtable on Sustainable Palm Oil (RSPO) Complaints System or are undergoing the Remediation and Compensation Procedure (RaCP). We consider cases "Ineligible" when following further investigations, allegations were not upheld as they were incorrect or incorrectly attributed, and therefore not in breach or not in scope of our Policy. Cases marked "Out of supply chain" are those for which we are not able to find any supply chain link to the grievance-holding entity on a group level in our latest traceability data, which may be a result of supply chain actions taken by Unilever or our business partners. Cases that are marked "Suspended" have to do with entities for which Unilever has placed a public procurement suspension or no-buy, for example those on the <u>Unilever's Suspended or No-Buy List of Palm Oil Companies</u> (2024).
- Grievance Type: Grievances are categorized following the People and Nature Policy in that it indicates which principle(s) are allegedly breached. E.g., Cases under "Environmental" include allegations of noncompliant deforestation or conversion and illegal use of fire, and cases under "Labor" include allegations of violation of labor rights, use of forced labor and child labor.

This grievance tracker represents the status of the listed grievances to the best of Unilever's knowledge at the time of its publication. We rely on correspondence with our business partners and grievance raisers as to the accuracy and correctness of the grievances raised, and pursue resolution of all credible grievances to the best of our ability. As such, references to grievances, supply chains, and remediation steps below are all made on the assumption that the information and grievances shared with Unilever are correct. Unilever is not endorsing or claiming as factually correct any accusation or grievance, notwithstanding that Unilever is investigating or is sharing external links to, or details of, any grievance or other matter. References to a commercial decision by Unilever to no longer source materials from, or impose a no-buy on, a business partner or group should not be assumed to be a confirmation of fault of any kind.

To learn more about Unilever's approach to sustainable sourcing, please visit https://www.unilever.com/sustainability/nature/deforestation-free-supply-chain/.

Supplier Index Updated December 2024

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3	Apical/Asian Agri	A4, A7, A16, B15, B18, B29
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5	Cargill	A4, A6, A7, A11, A14, B6, B9, B15, B16, B18, B19
6	Emami Agrotech	A9
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10	Louis Dreyfus	A4, B16
11	Musim Mas	A4, A6, A7, A15, B3, B4, B5, B6, B8, B10, B14, B15, B16, B19, B20
12	Sime Darby	A4, A6, A7, A10, A15, B16
13	Wilmar	A4, A5, A6, A7, A8, B4, B5, B6, B7, B9, B10, B11, B14, B15, B16

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4	Agra Bumi Niaga	A6, B5	37		Jhonlin group/Batulicin Agro
5	Amanah Saham Pahang	B25	38		Korindo
6	Anglo-Eastern Plantations	B11, B15	39		Laot Bangko
7	Astra Agro Lestari	B27, B36	40		Mentari Group
8	Austindo Nusantara Jaya	B4, B15, B16, B18	41		Mulia Sawit Agro
9	Bintang Harapan Desa	B13	42		Musirawas
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13	Brastagi (PT ATAK, PT ABEN)	B48	46		Pasifik Agro Sentosa
4	Mr. Bukhary, Mr. Jumady	B47	47	T	Peputra Group
5	Bumitama	B15, B41, B44	48		PIJ Holdings
6	CAA/First Resources	B10, B18, B32, B50	49	Ρ	PKPP Plantation Sdn Bhd
7	Capitol Group	B24	50	Р	T Perkebunan Nusantara I
8	CB Industrial Product Holdings	B24	51	Pu	ındi Lahan Khatulistiwa Group
9	Central Cipta Murdaya (CCM)/ Hardaya Group	B15, B43	52	REI	PSA
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21	Dharma Satya Nusantara	B34	54	Samling/Gle	enealy
22	Djarum	B15	55	Samudera Sav	wit Nabati
23	Double Dynasty	B50	56	Samuel/NSS Gro	oup
24	DTK Opportunity	B15	57	Sawit Panen Teru	S
25	East New Britain Resources	B31	58	Sentosa Kalimanto	an Jaya
26	Felda, FGV, Felda-IFFCO	B1	59	Sungai Budi/Tunas	Baru Lampung
27	First Borneo Group	B38	60	Surya Panen Subur	
28	GAMA/Ganda (now KPN)	A8, B15	61	Tabung Haji Planto	itions
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33	Indofood Agri (Salim)	B3	66	YPJ Plantations	Sdn Bhd

¹ Direct suppliers have/had a contract with Unilever and directly supply/supplied to Unilever at the time grievance was raised.

² Indirect/third-party suppliers do/did not have a contract with Unilever, but Unilever direct suppliers are currently sourcing from or had previously declared sourcing from them (up to a mill level).

³ Group-level suppliers are not present in the direct or indirect supply chain of Unilever, but are allegedly linked to a Unilever supplier on a Corporate Group level (see: Unilever People and Nature Policy Guidelines).

Case Summary Updated December 2024

Ref.	Date	Grievance Raiser	Link to Grievance	Supplier(s)	Case Summary	Case Status
A. Gr	ievances A	gainst Direct Suppliers				
A18	May-24	Greenpeace	Greenpeace – Report	Unilever paper & board suppliers	Allegation of sourcing from noncompliant sources	Monitoring
A17	Sep-22	Global Witness	<u>GW - Article</u>	Agropalma	Allegation of human rights violations	Monitoring
A16	Nov-21	Rainforest Action Network	RAN - Article	PT Toba Pulp Lestari	Allegation of noncompliance in group-associated companies	Monitoring
A15	Dec-21	Mighty Earth	Rapid Response 37	Musim Mas	Allegation of noncompliant clearing of HCS area	Ineligible
A14	Jun-21	NGO Coalition	FPP - Report	GAR, Cargill	Allegations of land rights violations	Closed
A13	May-20	Mighty Earth	Rapid Response 27	Gawi/PT KAS	Allegation of noncompliant forest clearing	Monitoring
A12	Nov-19	Greenpeace	<u>Greenpeace – Report</u>	Cargill, GAR, KLK, Musim Mas, PTPN, Sime Darby, Wilmar	Allegations of noncompliance with regards to forest fires	Monitoring
A11	Apr-19	Link-Ar Borneo	[Email]	Cargill	Allegations of land conflict	Closed
A10	Mar-19	FPP, TUK	FPP – Press Release	Sime Darby	Allegations of land conflict	Ineligible
A9	Nov-18	Chain Reaction Research	<u>CRR - Article</u>	Emami Agrotech	Absence of NDPE policies allegation	Out of supply chain
A8	Jun-18	Greenpeace	Greenpeace - Report	Wilmar	Allegation of NDPE noncompliance in group-associated companies	Closed
A7	May-18	Greenpeace	Greenpeace - Report	Wilmar, Bunge-IOI	Allegation of NDPE noncompliance	Closed
A6	Nov-16	Rainforest Action Network	RAN - Report	Wilmar, Musim Mas, GAR, etc.	Allegations of sourcing from noncompliant sources	Closed
A5	Nov-16	Amnesty International	AI - Report	Wilmar	Allegations of noncompliant labor and working conditions	Closed
A4	Apr-16	Eyes on the Forest	EoF - Report	Wilmar, Musim Mas, Apical, GAR, etc.	Allegations of sourcing from noncompliant sources	Closed
A3	Apr-15	Forest Peoples Programme	<u>FPP - Article</u> <u>FPP - Statement</u>	GAR	Land rights and labor rights allegations	Closed
A2	Apr-14	Rainforest Action Network	<u>RAN – Report</u>	KLK	Allegations of deforestation and human rights violation	Closed
A1	Mar-10	NGO Coalition	[RSPO Case]	101	Allegations of deforestation and land rights violation	Closed
B. Gr	ievances a	gainst third-party/indirect, gr	roup-level suppliers raise	d to Unilever		
B52	Nov-24	Forest Peoples Programme	<u>FPP - Article</u>	Grupo Oleoflores	Allegations of human, land, and community rights violations in Colombia	Investigating
B51	Oct-24	Mighty Earth	Rapid Response 46	Tetangga Akrab, Rimbunan Hijau*	Allegations of noncompliant forest clearing and plan to carry out noncompliant forest clearing	Out of supply chain, *Suspended
B50	Aug-24	Mighty Earth	Rapid Response 45	Yayasan Sabah, Medan Mestika, NSS Group*, Ciliandry Anky Abadi (CAA)**, Double Dynasty**	Allegations of noncompliant forest clearing	Monitoring, *Out of supply chain, **Suspended
B49	Jun-24	Mighty Earth	Rapid Response 44	Abdi Budi Mulia	Allegations of noncompliant forest clearing	Out of supply chain

B48	Mar-24	Rainforest Action Network	<u>RAN – Article 1</u> <u>RAN – Article 2</u>	PT ATAK, PT ABEN*, PT SPT*	Allegations of NDPE noncompliance in sourcing and deforestation	Investigating, *out of supply chain
B47	Mar-24	Rainforest Action Network	<u>RAN – Article</u>	Mr. Bukhary, Mr. Jumady	Allegations of illegal deforestation within the Leuser Ecosystem	Out of supply chain
B46	Mar-24	Mighty Earth	Rapid Response 43	Alas Kusuma, PIJ Holdings Sdn Bhd	Allegations of noncompliant forest clearing	Out of supply chain
B45	Jun-23	Mighty Earth	Rapid Response 42	Mentari Group	Allegations of noncompliant forest clearing	Monitoring
B44	Mar-23		[Email]	Yayasan Sabah, PT SKJ*, Triputra*, STA Resources*, Union Sampoerna*, Bumitama**, Samling***, PT PSM***	Clarification on alerts detected by a satellite monitoring provider	Monitoring, *Ineligible, **Closed, ***Suspended
B43	Mar-23	Mighty Earth	Rapid Response 41	CCM Group, Shin Yang Group*, Mukti Group**	Allegations of noncompliant forest clearing	Monitoring, *Suspended, **Out of supply chain
B42	Feb-23	Rainforest Action Network	<u>RAN - Article</u>	PT Inno-Wangsa Oils & Fats	Allegation of sourcing from noncompliant sources	Out of supply chain
B41	Feb-23	Rainforest Action Network	RAN - Report	PT RTK, PT KBT, Harita Group	Allegations of land rights violation in group-associated timber companies	Monitoring
B40	Sep-22	Global Witness	<u>GW – Press Release</u>	Brasil Biofuels	Allegation of human rights violations	Out of supply chain
B39	Sep-22	Rainforest Action Network	RAN - Article	Mahmudin, Nasti, PT GSS*, PT RPP, and PT BSL	Allegations of deforestation, allegations of sourcing from noncompliant sources	Monitoring, *Suspended
B38	Jul-22	Mighty Earth	Rapid Response 40	First Borneo Group, PT KAA, PT BIA	Allegations of noncompliant forest clearing	Monitoring
B37	May-22	Mighty Earth	Rapid Response 39	Jalan Abesin Ltd - PT SSS	Allegations of noncompliant clearing and group association	Investigating
B36	Mar-22	Friends of the Earth, WALHI	FoE - Report	Astra Agro Lestari	Allegation of noncompliance with regards to land rights and NDPE	Monitoring
B35	Feb-22	Mighty Earth	Rapid Response 38	AA Sawit – PTD 1815	Allegation of noncompliant forest clearing	Out of supply chain
B34	Dec-21	Mighty Earth	Rapid Response 37	Dharma Satya Nusantara	Allegation of noncompliant forest clearing	Closed
B33	Oct-21	Mighty Earth	Rapid Response 36	Yayasan Sabah	Allegation of noncompliant forest clearing	Monitoring
B32	Oct-21	Tempo, NGO Coalition	[Print Publication]	First Resources – PT CP, Incasi Raya - PT SAK, PT TP*	Allegations of sourcing from noncompliant sources, concessions that overlap with forests	Monitoring, *Closed
B31	Oct-21	Global Witness	<u>GW - Report</u>	East New Britain Resources*/Tzen Plantation, Rimbunan Hijau Group - Gilford Ltd.**, Top Leap Holdings - Bewani Oil Palm Plantations Ltd**.	Allegations of NDPE noncompliance in Papua New Guinea	*Out of supply chain, **Suspended
B30	Aug-21	Teraju Foundation	[Email]	Pasifik Agro Sentosa – PT MAR	Allegation of labor rights violations	Monitoring
B29	Jun-21	Rainforest Action Network	RAN - Article	PT Laot Bangko, PT GSS	Allegation of sourcing from noncompliant sources	Suspended
B28	Jun-21	Mighty Earth	Rapid Response 34	Eagle High, Rimbunan Hijau, Triputra Agro Persada*	Allegations of noncompliant forest clearing	Closed, *Ineligible

B27	Jun-21	Forest Peoples Programme	FPP - Report	Astra Agro Lestari, PT MAS*, PT KLS*, CCM*, PTPN XIII*	Allegations of land rights violations	Monitoring, Investigating
B26	Jan-21	Mighty Earth	Rapid Response 32	YPJ Holdings, Bunga Group – Value Greenworld Sdn Bhd*	Allegations of noncompliant forest clearing	Monitoring, *Closed
B25	Nov-20	Mighty Earth	Rapid Response 30-31	PKPP, Yayasan Pahang*, Amanah Saham Pahang*	Allegations of noncompliant forest clearing	Monitoring, *Out of supply chain
B24	May-20	Mighty Earth	Rapid Response 26 Rapid Response 29	CBIP Holding Bhd – PT SLR, Capitol Group – PT MPHS	Allegations of noncompliant forest clearing	Monitoring
B23	Apr-20	Mighty Earth	Mighty Earth - Article	Samling, Mulia Sawit Agro	Allegations of noncompliant forest clearing	Suspended
B22	Feb-20	Chain Reaction Research	<u>CRR – Article</u>	Sulaidy, Jhonlin, Mulia Sawit Agro, Sungai Budi, Indonusa, Shuangma, BEST Agro, Peputra, Musirawas, Golden Land Bhd	Allegation of Top Deforesters in 2019	Suspended
B21	Nov-19	Greenpeace	Greenpeace - Report	Multiple	Allegations of noncompliance with regards to forest fires	Monitoring
B20	Sep-19	Rainforest Action Network	RAN - Report	PT GSS, PT SSN	Allegations of sourcing from noncompliant sources	Suspended
B19	May-19	Rainforest Action Network	RAN – Report RAN – Articles	Multiple	Allegations of noncompliant clearing, Leuser Ecosystem	Suspended
B18	Feb-19	Chain Reaction Research	<u>CRR - Article</u>	ANJ*, BLD Plantations**, Jhonlin**, Tanah Merah project**, CAA**, Tee family/Prosper Group**, Mulia Sawit Agro**, Sungai Budi**, Citra Borneo Indah (CBI)**	Allegation of Top Deforesters in 2018	Monitoring, *Closed, **Suspended
B17	Feb-19	Foresthints	Foresthints - Article	Pundi Group	Allegations of noncompliant forest clearing	Monitoring
B16	Sep-18	Mighty Earth	Rapid Response 6	ANJ, Tabung Haji Plantations, Samuel, JA Wattie*, HSA Group**, Musirawas**	Allegations of noncompliant forest clearing	Monitoring, *ineligible, **Suspended
B15	May-18	Greenpeace	<u>Greenpeace – Report</u>	ANJ, CCM, Djarum, DTK Opportunity, Genting, IJM Corporation, NPC Resources, Bumitama*, GAMA*, CBI**, Indonusa**, Rimbunan Hijau**, Salim Group**, Samling**, Sungai Budi/TBL**, Tee family/Prosper [BOPPL**], Korindo***	NDPE noncompliance allegations	Monitoring, *Closed, **Suspended, ***Out of supply chain
B14	Apr-18	Chain Reaction Research, Greenpeace, Mighty Earth	<u>CRR - Report</u> CRR - Article	Tabung Haji Plantations (THP)	Allegation of noncompliant forest clearing	Suspended
B13	Feb-18	Foresthints	Foresthints – Article	Bintang Harapan Desa (BHD), PT PSM*	Allegation of noncompliant forest clearing	Monitoring, *Suspended
B12	Dec-17	Greenpeace, Mighty Earth	<u>Greenpeace – Report</u>	Hayel Saeed Anam (HSA) Group	Allegation of noncompliant forest clearing	Suspended
B11	Nov-17	Mighty Earth, Greenpeace	Rapid Response 1 & 14 Greenpeace – Report	Anglo-Eastern Plantations (AEP)	Allegation of noncompliant forest clearing	Closed

B10	May-17	Greenpeace, EIA, Foresthints	<u>Greenpeace – Report</u> EIA & Foresthints	Ciliandry Anky Abadi (CAA) Group	Allegation of noncompliant forest clearing	Suspended
B9	Apr-17	NGO Coalition	<u>Coalition – Open Letter</u>	REPSA	Allegation of river pollution and threats to rights defenders	Monitoring
B8	Jan-17	Greenpeace, EIA	<u>EIA – Report</u> <u>Greenpeace – Report</u>	Noble Group	Allegation of noncompliant forest clearing	Suspended
B7	Nov-16	Amnesty International, EIA	AI - Report	BEST Group	Allegation of violation of labor rights	Suspended
B6	Nov-16	Rainforest Action Network	<u>RAN – Report</u>	PT Surya Panen Subur II	Allegation of noncompliant clearing, Leuser Ecosystem	Suspended
B5	Nov-16	Rainforest Action Network	<u>RAN – Report</u>	PT Agra Bumi Niaga	Allegation of noncompliant clearing, Leuser Ecosystem	Closed
B4	Sep-16	NGO Coalition	<u>Greenpeace – Report</u>	Austindo Nusantara Jaya (ANJ)	Allegation of noncompliant clearing and lack of FPIC	Monitoring
B3	Jun-16	NGO Coalition	<u>RAN – Report</u>	Salim Group/Indofood Agri/ Indogunta	Allegations of labor rights violations and noncompliant clearing, in group companies and associated companies	Suspended
B2	May-16	Chain Reaction Research, Foresthints, Greenpeace	<u>CRR – Report</u>	Citra Borneo Indah (CBI)/Sawit Sumbermas Sarana (SSMS)	Allegation of noncompliant clearing in group companies and associated companies	Suspended
B1	Oct-15	NGO Coalition	<u>Coalition – Letter</u> <u>Greenpeace – Report</u>	Felda/FGV Holdings Bhd	Allegation of noncompliant peat clearing and labor rights violations	Suspended

A. Grievances against direct suppliers

Direct suppliers have a contract with Unilever and directly supply Unilever's in-scope commodities.

Ref.	Date first raised	Stakeholder	Subject matter of grievance allegation	Supplier(s) link to Unilever SC	Latest Unilever and Unilever supplier actions to address grievance allegations
A18	May 2024	Greenpeace	Allegation of noncompliant sourcing Greenpeace reported on their field investigation into pulp-and- paper supply chains originating from old-growth forests in Sweden. This report is available <u>here</u> .	Unilever paper and board suppliers	 Unilever has been engaging with Greenpeace on the report, detailing suppliers that are directly or indirectly part of our supply chain. We also clarify our expectation of suppliers that they comply with not only the law but also the Unilever <u>Responsible Partner Policy</u> and <u>People and Nature Policy</u>. Upon receiving the full report, Unilever initiated further investigations with its direct suppliers. Suppliers responded stating that they have conducted their own investigation into the mills named in the report, that the procedures being followed are fully compliant with the requirements of sustainable forestry (Swedish forest legislations, and PEFC and FSC certification standards). Suppliers and stakeholders weigh in on the need for a definition of "old-growth" or primary forest in Sweden that should be based on identification of potential high conservation values, and align with the new guidelines provided by the European Commission. The Swedish Forest Industries (SFIF) issued a <u>public statement</u>. Unilever is supportive of the measures taken at the FSC to improve the current standards and conservation value assessments, based on recommendations from the Assurance Services International (ASI). We are committed to tracking any developments.
A17	Sept 2022	Global Witness	Allegation of human rights violations Global Witness reported in an article allegations of human rights and land rights violations linked to two palm oil producers in Brazil, Brasil Biofuels (BBF), and Agropalma.	Agropalma	 Unilever was first made aware of the allegations from its monitoring of the local media. Unilever conducted a detailed assessment of the supplier which includes a review of information already provided, as well as new documents provided by Agropalma. Unilever is engaging Agropalma and requesting information on what steps have been taken to address the allegations. Unilever issued a <u>statement</u> in response to the Business & Human Rights Resource Centre (October 2022). Unilever met with Agropalma (February, April 2023). We are aware that local authorities are involved and we are monitoring the situation and decisions issued.

A16	November 2021	Rainforest Action Network	Allegation of NDPE noncompliance in group- associated companies Letter to Unilever alleges NDPE- noncompliant sourcing of palm oil, and violation of customary land rights, criminalization of human rights defenders, deforestation, and use of fires inside concessions in supplier group-associated pulp and paper companies. Similar points in the letter are raised in articles on the RAN website here and here. KSPPM released a statement on their website (February 2023). RAN published an article that additionally alleged noncompliant clearing of 1,390 hectares within the concession of PT Toba Pulp Lestari (March 2024).	Unilever direct supplier mentioned in the report: • Apical We do not have a direct business relationship with APRIL or PT Toba Pulp Lestari (TPL).	 Unilever has engaged with Apical with regards to the case involving noncompliant sourcing from a plantation in the Leuser Ecosystem. See case B29. Unilever is aware of the grievances raised against our supplier Apical's parent group, the Royal Golden Eagle (RGE) group, with regards to other concerns in sustainability and land rights across its operations. PT TPL released a response on its website (December 2021). Unilever team met with representatives from RGE, Apical, and APRIL to discuss points in the allegations and push for a resolution which includes independent verification, especially concerning the allegations raised against PT TPL, including PT TPL's commitment to land mapping and third-party assurance, and definition of "corporate group" (April 2022). RGE issued a statement on its engagement with TPL (April 2022). PT TPL has entered an agreement with the Earthworm Foundation (EF) to review and improve its social engagement system and processes (October 2022). Find the full statement on the <u>TPL</u> and <u>Earthworm Foundation</u> websites. PT TPL issued a progress update on its website (February 2023). With regards to the statement raised by KSPPM, EF published its response on its website (April 2023). Unilever is engaging RGE through its supplier Apical to ensure this statement is supported by a check on procedures that were claimed to be set and followed when engaging the communities and, moving forward, a commitment to reach out to the CSO and improve the consultation process. With regards to the allegation of noncompliant clearing within PT TPL, PT TPL issued a response on their website. We are cross-checking this information internally and with an external third party and following up with relevant parties on the finding (April 2024). We are continuing to engage on the issues raised and monitor the progress through Apical and RGE.
A15	December 2021	Mighty Earth	Rapid Response 37 <u>A report</u> containing allegation of High Carbon Stock (HCS) area clearing within a Musim Mas concession PT Multipersada Gatramegah between May and July 2021.	Musim Mas	 A review of the case with our deforestation monitoring partners, and as confirmed by Musim Mas, indicated that the area cleared was not classified as HCS but rather as cultivated land. This is consistent with the result of a peerreviewed HCS assessment done in 2018, available on the HCSA <u>website</u>. Grievance is therefore not upheld (December 2021).
A14	June 2021	TuK Indonesia, PUSAKA, Walhi, and Forest Peoples Programme	Allegation of ongoing violation of human rights <u>A report</u> compiled by TuK Indonesia, PUSAKA, Walhi, and Forest Peoples Programme contains allegations of unresolved	Unilever direct suppliers were mentioned in the report: • GAR/Sinar Mas, PT Kresna Duta Agroindo	 Unilever reached out to Cargill and GAR on the allegations of unresolved grievance raised in the report (June 2021). GAR responded that the allegations refer to issues that have been resolved in 2016 and closed at the Roundtable on Sustainable Palm Oil (RSPO), and there are no outstanding disputes with the community at PT KDA. This resolution is based on an agreement between all parties and the implementation of action

			human rights grievances at ten plantation companies linked to global brands.	• Cargill, PT Harapan Sawit Lestari (see also: A11)	 plans on the ground. More detailed information can be found on the <u>RSPO case</u> <u>tracker</u> and GAR's <u>grievance list</u> and <u>report</u> on the case. Cargill responded to points raised in the allegation. The case has been settled with the complainant and the Asam Besar communities and closed by the RSPO Complaints Panel in September 2020. More detailed information can be found on the <u>RSPO case tracker</u> and Cargill's public response to the report <u>here</u>. Both suppliers have followed the independent complaints process at the RSPO, and information on the process is available publicly on the RSPO website and suppliers' website.
A13	May 2020	Mighty Earth	Allegations of NDPE noncompliance Mighty Earth raised its Rapid Response (RR) report number 27 (May 2020), 28, (June 2020), and 29 (July 2020). Cases involving a direct supplier we reviewed to be in scope of our grievance process is Gawi (RR 27) through our previous link with PT Karyaindah Alam Sejahtera. Cases involving allegations of third-party or indirect suppliers in the RR are found in ref. B24.	PT Karyaindah Alam Sejahtera (part of Gawi) is no longer a Unilever direct supplier since Q2 2020.	 Unilever ran a review on all cases raised in the Rapid Response reports, by comparing allegations with Unilever's internal deforestation alert and monitoring system with supply chain links to Unilever. We excluded cases in which there was insufficient information in the report to be verified (e.g., lack of precise location of where the alleged case deforestation took place) and palm oil companies on which Unilever had already imposed a procurement suspension. Previously in March 2020, Unilever had reached out to Gawi on the clearing in its concession PT Indopenta Sejahtera Abadi detected through our internal deforestation alert and monitoring system. Unilever no longer sources directly from PT Karyaindah Alam Sejahtera at a group level (Gawi).
A12	November 2019	Greenpeace	Allegations of noncompliance with regards to forest fires Greenpeace published a report titled " <u>Burning down the house"</u> with allegations that consumer goods companies including Unilever are purchasing palm oil from producers linked to forest fires and operations subject to court action, administrative sanctions, or other government intervention as a result of fires in the past few years.	Several Unilever direct suppliers were mentioned in the report: • Cargill • GAR/Sinar Mas • KLK • Musim Mas • Perkebunan Nusantara (PTPN) • Sime Darby • Wilmar The remaining suppliers listed, while not direct suppliers	 Prior to the report's publication on November 4, Greenpeace sent Unilever a letter detailing a new publication on October 30 (2019). Unilever reached out to its direct suppliers to verify the allegations: Apical, Cargill, KLK, Musim Mas, PTPN, Sime Darby, GAR, and Wilmar, requesting clarification on fires and hotspots in the suppliers' direct operations, sealed operations or fines, links to third-party suppliers linked to forest fires in 2015-2018, and burnt areas in 2019 detected by Unilever's monitoring (November 2019). Wilmar sent a <u>sustainability brief</u> on the matter (October 2019). Musim Mas sent a letter to buyers clarifying data cited in the report, including on allegations of burnt area and hotspots in its concessions between 2015-2018 (November 2019). Similar details on the clarification can be found on a Musim Mas statement <u>here</u>. KLK clarified following an earlier <u>public statement</u> (September 2019) that, in the case of PT Adei, KLK was found negligent in not preventing perpetrators from starting a fire in the plasma area. The reported sentencing of PT Adei's directors

			A related press release on transboundary haze was also published in November 2019.	to Unilever, may still be indirectly linked to the Unilever supply chain. See B21.	 were not fire-related but rather for operating the plantation without a permit (IUP) despite the area being a joint community project and owned by the community. Investigation for 2019 fires at PT Adei is still ongoing. GAR, with regards to Greenpeace allegations, had published <u>a statement</u> responding to the report (November 2019). In addition to these allegations, Unilever inquired about two concessions where significant peat fires were detected in 2019. GAR had documented these incidences in its <u>Fire Incident</u> <u>Report</u> online and provided information on its actions to put out the fire and prevent future fires and maintain peat hydrology under drought conditions. Sime Darby issued a <u>statement</u> prior to the Greenpeace haze press release, which includes clarification on the data sources and method in its hotspots monitoring, available publicly on its <u>hotspots dashboard</u>. Responding to Unilever, Sime Darby provided number of hotspots monitored, causes of these hotspots as a result of investigation, and supply chain links to third-party suppliers listed in the report. Sime Darby had also released a <u>statement</u> with regards to allegations surrounding PT Sime Indo Agro (SIA). Cargill responded by outlining action plans taken if a fire inside plantation is detected and stated that recent reports were started "in enclaved areas owned by the community" and despite not having control over actions of the communities, they deployed fire-fighting team to fight the fires. PTPN III Holdings Secretariat responded to Unilever that the allegations had not been raised to them directly. PTPN Holdings stated it has not opened a new land in 2015-2018, and new planting and conversion to palm are undertaken using a no-burning principle. They will investigate the allegation of burned areas between 2015-2018. PTPN stated it monitors hotspots and mobilizes emergency teams when fires are detected. Unilever 's work with Earth Equalizer and Aidenvironment allows us to investigate pe
A11	April 2019	LinkAR-Borneo	Allegations of land conflict LinkAR-Borneo contacted Unilever to report an allegation that the Cargill subsidiary PT Poliplant Sejahtera (PSA) in West Kalimantan has not fully	Cargill	 In April 2019, Unilever responded to LinkAR-Borneo and contacted Cargill for clarifications. Cargill responded that it acquired PT PSA in 2015, and evidence of negotiations and agreements between the previous management, the farmer, the village head, and other parties about the land was documented in the due diligence

			compensated a farmer for his plot inside the estate according to the agreed conditions of ownership transfer since 2009. The farmer was imprisoned at the end of 2018 for harvesting palm in the plot he used to own. The case is being processed in the local district court.		 process. This includes a notarized acknowledgment of ownership transfer signed by the farmer. Unilever met with Cargill in April 2019. Unilever has connected LinkAR-Borneo to Cargill and discussions regarding the grievance will continue between the two parties. In July 2019, Cargill and LinkAR-Borneo had agreed to meet. More information can be found on Cargill's grievance list. Unilever last reached out to Cargill in February 2020 to request updates on their engagement with the grievance raiser. RSPO issued a decision letter to both parties noting the closure of this complaint (September 2020).
A10	March 2019	Forest Peoples Programme, TUK Indonesia	Allegations of land conflict In March 2019, FPP published a <u>press release</u> with allegations that PT Mitra Austral Sejahtera (MAS), a Sime Darby subsidiary, has not fulfilled its responsibility to resolve the land conflict since 1999 with the Dayak Hibun indigenous people in Kerunang and Entapang, West Kalimantan. Sime Darby is reported to have divested from PT MAS. In May 2019, FFP and TUK Indonesia filed a formal grievance to Unilever.	Sime Darby Plantation	 In April 2019, Unilever engaged Sime Darby to request clarification on the allegations. Sime Darby responded confirming Sime Darby's intention to sell PT MAS, per a statement on Sime Darby's website. Unilever requested more information regarding the statement. Unilever is also monitoring the progress of this case at the RSPO Complaints Panel. RSPO has previously issued a <u>letter</u> on Sime Darby's plans to divest from PT MAS. Unilever further discussed this with Sime Darby in June 2019. After further legal review, in which Unilever sought third-party legal advice, Unilever found the grievance to be outside of Unilever's supply chain at the time it was raised to Unilever. The RSPO Secretariat has finalised the legal review of parties involved and is preparing a Briefing Note (March 2020). RSPO Complaints Panel final directive was furnished to both parties (July 2021).
A9	November 2018	Chain Reaction Research	Absence of NDPE policies allegation In November 2018, Chain Reaction Research reached out to Unilever with a draft of their report "Leakage Risks in India, 58 Percent of Palm Oil Imports Not Covered by NDPE Policies". Emami Agrotech, a refinery supplying to Unilever, is allegedly operating without a public NDPE policy, through which unsustainable palm oil may enter	Emami Agrotech	 Unilever engaged with Chain Reaction Research regarding the publication of the report (November 2018). Unilever has reached out to Emami Agrotech to understand their response to the report. We reiterated that Unilever's Sustainable Palm Oil Sourcing Policy applies to all our direct and indirect palm oil suppliers. We are investigating further whether the supplier is sourcing from palm oil companies who have been alleged and verified as being non-NDPE compliant (December 2018). Unilever is following up on this allegation with Emami in May 2019. Unilever's last shipment from Emami was in H1 2018, and currently has no direct contract with Emami.

			the Indian and Pakistani supply chains of Unilever.		
A8	June 2018	Greenpeace	NDPE noncompliance allegationGreenpeace report titled <u>"RogueTrader</u> ", alleges that WilmarInternational is still linked toforest destruction especially inPapua through "GAMA Group", agroup of palm oil companiesowned and run by senior Wilmarexecutives and members of theirfamily.GAMA/Ganda group is alsoincluded in the Greenpeace list ofallegedly NDPE noncompliantcompanies for deforestationissues in the "Final Countdown"(see B15).	Wilmar	 Wilmar provided Unilever with a letter regarding its response to Greenpeace on the "Rogue Trader" report (June 2018). Wilmar had ceased sourcing from the GAMA group. Wilmar has written to the suppliers allegedly linked to the "GAMA Group" to clarify the allegations. Progress in the cases involving Wilmar's supply base can be found on <u>Wilmar's grievance list</u>. GAMA and Aidenvironment entered a collaborative agreement to develop and implement a sustainability policy framework. A full joint statement is provided <u>here</u>. The GAMA <u>NDPE policy</u> was released in September 2018. Gama/KPN issued its first NDPE <u>progress report</u> in February 2019. Wilmar had stated that, based on a review of progress and after consultation with Aidenvironment on the group's performance and commitment, that it is lifting the suspension on GAMA in March 2019. More information is available on <u>Wilmar's grievance list</u>.
A7	May 2018	Greenpeace	NDPE noncompliance allegationIn a letter to major palm oilbuyers, Greenpeace listed alleged26 NDPE noncompliant palm oilproducer groups (May 2018).In September 2018, Greenpeacepublished "Final Countdown," areport on the 26 companiespreviously reported, and thebrands and traders' alleged non-implementation of NDPEcommitments in the context oftheir entire palm oil supplychains.	Unilever's direct suppliers in the Greenpeace list: • Bunge/IOI • Wilmar The remaining companies in the list, while not direct suppliers to Unilever, may still be in the supply chain through Unilever's direct suppliers e.g. (not exhaustive): • Bunge/IOI • Wilmar • Sime Darby • KLK • GAR • Apical • Cargill • Musim Mas	 Unilever issued a response letter to Greenpeace, outlining views on the key challenges raised i.e., sourcing links with highlighted producer groups, NDPE compliance and verification, and multi-stakeholder approach for industry reform. The letter also included sourcing links and actions taken concerning each supplier on the list (June 2018). Unilever reached out to direct suppliers in the list (Wilmar, Bunge/IOI) to better understand the NDPE noncompliance allegations raised by Greenpeace (June 2018). Unilever engaged independent consultants to identify which mills of the listed companies are in Unilever's mills list, to get better clarity of how the listed companies may be within Unilever's supply chain (June 2018). Unilever issued a response to the Greenpeace campaign "Final Countdown" (September 2018). Unilever reached out to its major direct suppliers that source from companies in the list (i.e., Bunge/IOI, Wilmar, Sime Darby, KLK, GAR, Apical, Cargill, and Musim Mas) to identify each major supplier's position on the grievance and sourcing from the listed companies. Bunge responded to Greenpeace regarding the allegations against IOI connected to the Pelita case (see related grievance). Bunge responded that the Pelita case is well documented on several grievance lists (e.g. Bunge grievance list September 2018, IOI Group, RSPO). In September 2018, Bunge issued a response to the "Final Countdown" report, which they communicated to Unilever.

		S	See B15.	 Wilmar responded to Greenpeace with a document also shared with Unilever. Wilmar outlined engagements with the listed companies on their public grievance list. As a response to the "Final Countdown" report, Wilmar issued a public statement, which they also communicated to Unilever (September 2018). GAR issued a letter to customers, including Unilever, outlining GAR's sourcing from the listed groups. Details on GAR's engagements with listed companies in their supply chain are available on their public grievance list. With regards to the "Final Countdown" report, GAR issued an advisory to its customers (September 2018). GAR contacted Bumitama Agri with regards to the Final Countdown report in September 2018 and in October 2018, GAR met Bumitama for an update. For further details, please see: http://bit.ly/2U9G90n and GAR Grievance List. Cargill provided a response to Unilever and updated on engagements with their suppliers on the list. Engagements with listed companies are also on their public grievance list. Cargill issued a statement to buyers responding to the "Final Countdown" report (September 2018). On the Final Countdown report, IOI published its <u>official statement</u> (October 2018). Musim Mas issued a response to Unilever. Engagements with the listed companies are also available on their public <u>grievance list</u>. We have been informed that Apical is engaging directly with Greenpeace on these allegations. Sime Darby and KLK issued a response to Unilever, and they are working on these allegations. More information is outlined in Sime Darby's <u>Grievance Register</u> and KLK's <u>Grievance List</u>. In December 2018, Wilmar and Aidenvironment issued a joint statement on a new supplier monitoring and engagement programm that aims to accelerate efforts towards a deforestation-free palm oil industry. Unilever and Mondelez are supporting signatories to the joint statement. Unilever sent an email to all of Unilever's direct palm oil su
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A6	November	Rainforest	Deforestation in Leuser	Unilever does not	Unilever has been engaging with RAN and major direct suppliers on this
-	2016	Action Network	Ecosystem (Aceh) allegation	have any direct	grievance.
		(RAN)	RAN released a report "Protecting	business relationship	 In 2016, Wilmar, GAR, Musim Mas, Apical with stakeholders including The
		()	the Leuser Ecosystem" (2016)	with PT Surya Panen	Forest Trust (TFT) and Rainforest Action Network (RAN) earmarked Aceh Singkil
			alleging illegal deforestation of	Subur II (PT SPS II)	and Aceh Tamiang of the Leuser Ecosystem as the area of priority for the
			elephant habitat within the	but may be exposed	landscape approach "Areal Prioritas Transformasi (APT). These APT areas were
			Leuser Ecosystem and mills in the	to the company	included in a deforestation monitoring initiative developed by TFT and partners.
			Singkil Bengkung area that are	through its suppliers.	
			allegedly at risk for sourcing	In the reports, RAN	• GAR and PT SPS II agreed on site visits, action plan, progress updates and next
			"Conflict Palm Oil".	highlighted three of	steps, one of which was to conduct participatory mapping that was also carried
				Unilever's suppliers:	out (October 2016).
			The Rainforest Action Network	Wilmar, Musim Mas,	Musim Mas does not list SPS II in their grievance list but have engaged with
				and Golden Agri.	other suppliers in the Singkil regency (Pati Sari, Sisirau, Mopoli Raya, Ensem
			(RAN) through the <u>Leuser Watch</u>	_	Sawita, Ensem Lestari, Bangun Sempura Lestari). In August 2017, Ensem Sawita
			campaign published a report	Other suppliers and	(supplier to Wilmar and Musim Mas) confirmed that all purchasing from PT ABN
			alleging that the palm oil	traders include ADM,	had ceased (see B5).
			company PT Surya Panen Subur II	Bunge, Cargill, and	Musim Mas engaged with Ensem Sawita, who has now committed to an action
			(PT SPS II) was responsible for the	Sime Darby.	plan in collaboration with Musim Mas and GAR to ensure that its sustainability
			destruction of thousands of		practices are in line with policy commitments. More information available on
			hectares of the Tripa peat	Unilever does not	Musim Mas grievance list (September 2017).
			land/forest in Aceh. Initial	have any direct	 GAR supported PT SPS in their Collaboration for Transformation (CFT)
			findings were found in 2014. PT	business relationship	programme, which completed conflict resolution process implementation
			SPS was found <u>guilty</u> by the State	with any of the	(December 2017).
			Prosecutor's Office of clearing of	twelve companies	 GAR and SPS II discussed and agreed upon actions for conflict resolution, which
			peat forests (2016). In a May	raised by RAN in May	included building and improving communication with "land clearing actors",
			2018 case study, RAN has further	2019 but may be	local, provincial, and international stakeholders, including RAN (June 2018).
			reported allegations that there	exposed to the	 A meeting was held between GAR and SPS II management, during which GAR
			continues to be evidence of	company through its	asked for a more concrete solution for the ongoing deforestation caused by
			deforestation occurring in	direct suppliers.	encroachment in SPS II concession (July 2018). More information on GAR
			January and March 2018 during		grievance list.
			which heavy machinery was used		 Wilmar reported that PT SPS II has also been transparent in updating Wilmar
			to clear forest for palm oil		regularly on their progress in addressing issues raised by RAN, and Wilmar
			development, and in some cases		continue to support PT SPS II in addressing challenges of alleged occupation on
			the use of fire.		the unopened area, that was set aside under the moratorium. Wilmar has not
					sourced from PT SPS II since June 2018. Field verification by ministry officials
			A related grievance for a third-		(KLHK) and Wilmar's meeting with PT SPS II took place (July 2018). Wilmar
			party supplier PT ABN is		continues to follow up with PT SPS II on their progress in addressing the
			presented in B5.		challenges of illegal occupation on the unopened area, that was set aside under
					the moratorium. More information available on Wilmar's grievance list.
			In May 2019, RAN submitted to		• Wilmar and GAR are also engaged with other suppliers in associated grievances
			Unilever ongoing grievances on		in the Leuser area e.g., PT Ensem Sawita, Patisari, PT Dua Perkasa Lestari, PT
			twelve palm oil companies in		Agra Bumi Niaga, and Koperasi Prima Jasa.
			Aceh Timur that have allegedly		 Unilever reached out to direct suppliers to understand their sourcing
			continued clearing forests within		relationships with PT SPS II. Most of Unilever's direct suppliers have confirmed
			the Leuser Ecosystem in 2019. For		h

			more information on these companies, see B19. In September 2019, RAN published "The Last of the Leuser Lowlands" a report alleging link between palm fruits harvested in the Rawa Singkil Wildlife Reserve and the supply chain of brands such as Unilever. For more information on this report, see B20.		 that they have ceased sourcing from PT SPS II, and one is halting new procurement and asking PT SPS II to create and execute a time-bound plan by the end of 2018 (October 2018). ADM did not directly source from PT SPS II. ADM's engagement with their direct suppliers is available on their <u>Grievance Log</u> (October 2018). Bunge does not directly supply from the area but may be indirectly exposed through traders. As of December 2018, PT SPS II is no longer in Bunge's supply chain. Source: Bunge Loders Croklaan <u>grievance list</u>. Cargill will be discussing with direct suppliers on milestones required for SPS II to demonstrate compliance with their policy. Surya Panen Subur II is no longer in Cargill's supply chain. Source: Cargill indirect <u>grievance list</u>. GAR and Foresthints had a meeting to discuss next steps regarding the Foresthints reports (http://bit.ly/2QV6KFm and http://bit.ly/2SQ9mit), and agreed on a joint field verification visit to the areas relevant to the grievance in SPS II concession. Results and recommendations from the verification visits are available on <u>GAR Grievance List</u> (August 2018). GAR and RAN had a meeting to discuss progress on SPS II. GAR informed RAN that due to the unsatisfactory progress on how SPS II delivered conflict resolution process. For more information, please see <u>GAR Grievance List</u> (November 2018). With regards to new clearings identified and raised in May 2019, Unilever has notified its direct suppliers with potential sourcing links to the area. Unilever also works with Aidenvironment to verify the allegations via its deforestation reports and deployment of field teams. GAR responded to Unilever with information that eleven of the companies identified in the May 2019 grievance are not linked to their supplicy chain, and they are engaging the remaining one supplier on the allegation (May 2019). Unilever has signed a MoU with IDH Sustainable Trade Initiative in Indonesia to support a landscape
A5	November 2016	Amnesty International	Allegations of noncompliant labor and working conditions Amnesty report titled <u>"The Great</u> <u>Palm Oil Scandal"</u> reports allegations surrounding labor conditions in Wilmar's direct and	Wilmar	 Unilever issued a <u>public response</u> to the report (November 2016). Unilever has engaged with Amnesty International and Wilmar to discuss the allegations and action plans to take in 2017. <u>Wilmar published an update</u> on pushing for industry wide collaboration with Verite and BSR, and released an <u>action plan</u> for PT Perkebunan Milano and PT Daya Labuan Indah (April 2017).

			indirect operations (November 2016). <u>Amnesty report</u> alleges that Wilmar tried to intimidate staff into denying the claims and urges the Indonesian Government to investigate labour practices (March 2017).		 Internal investigation was carried out by the Wilmar Sustainability team (May 2017). Unilever participated in a BSR social sustainability workshop held by Wilmar for its main suppliers (November 2017). Wilmar released a <u>12-month progress report</u> (December 2017). Wilmar issued an <u>update</u> that they are working with Verité to review and improve the living and working environment of their employees (February 2018). Amnesty sent a letter to major buyers of Wilmar regarding follow up action of the report (March 2018), to which <u>Unilever replied with a public response</u> (March 2018). Wilmar continued to engage with Amnesty International (June 2018). Wilmar issued a <u>two-year progress report</u> (November 2018). Verite issued an <u>update</u> on Wilmar's program (November 2018). Amnesty sent an update letter to Unilever on the case (March 2019). Wilmar reached out to its stakeholders on the actions taken in an update to all parties (March 2019). In this update, reference was made to the five points raised by Amnesty International, as well as previous updates sent to stakeholders in November 2018.
A4	April 2016	Eyes on the Forest (EoF)	Allegation of sourcing of FFB from national park encroachment in Riau Eyes on the Forest published "Enough is Enough" in June 2018, a report identifying 22 palm oil mills allegedly purchasing palm fruit bunch from alleged encroachments inside the Tesso Nilo National Park (TNNP) and Bukit Tigapuluh landscapes in Riau. Findings from 2017 investigation are combined with those from an earlier report, "No one is safe" published in 2016. The allegation also includes that crude palm oil from these mills was purchased by major palm oil traders and growers, such as the "Big 4" (Wilmar, Musim Mas, Golden Agri Resources, Apical and Asian Agri); eventually making its	Out of 22 mills around Tesso Nilo and Bukit Tigapuluh landscapes that are the subject of the grievance, 19 mills potentially supply to Unilever through our direct suppliers: • Wilmar • Musim Mas • Golden Agri Resources (GAR) • RGE (Apical, Asian Agri). Some Unilever suppliers do not directly source from the area, but palm oil within the scope of the grievance might	 Eyes on the Forest (EoF) sent an email to Unilever regarding the draft for EoF report titled "Enough is Enough". EoF requested that Unilever provide comment or correction to the report. Unilever replied to EoF (May 2018). Asian Agri conducted a visit to PT Inti Indosawit Subur (Ukui 1), traced the reported supply chain, reviewed the supply report to confirm EoF data, and suspended the Delivery Order (DO) holder of a reported truck. Response available publicly here (May 2018). Unilever conducted an internal review of the grievance. Unilever and other brands also met with WWF to discuss the possible next steps (July 2018). Wilmar, in their Grievance List, identified three suppliers in their supply chain: Asian Agri, PT CRS, and PT SMN. Wilmar will continue to engage with PT CRS and expected to conduct a ground verification (July 2018). Wilmar has ceased sourcing from PT CRS since June 2018. PT SMN rebutted the report and clarify that they do not source FFB from the TNNP. A field visit was carried out to assess the supplier's operations (September 2018). A meeting was held between EoF and Wilmar, Musim Mas, GAR, Apical in Jakarta, in which an agreement on joint next steps was agreed by all present (July 2018). Unilever met with WWF, palm oil traders, and palm oil buyers to discuss potential collaboration in the Tesso Nilo area (November 2018). Apical is currently engaging with their six suppliers which are alleged to be receiving FFB from encroaching areas in 2018. Apical will be working together

	way to the supply chain of	enter their supply	with the suppliers and civil society group, Setara Jambi for a traceability to
	Unilever and other buyers with	chain through	plantation project. The progress is made available on Apical's <u>public grievances</u> .
	NDPE commitments.	traders. These are:	Musim Mas conducted site clarifications and applied a controlled purchase
			process (reducing procurement from 14 mills to 5 mills in 2016). They are
		• ADM	involved in various landscape initiatives in the area. Most recently, they
		Cargill	conducted a traceability exercise at PT MAS and PT BIM, two mills mentioned in
		Bunge Loders	the report as supplying to Musim Mas. Grievance updates available <u>here</u> .
		Croklaan/IOI	• GAR identified seven mills in the report in their supply chain. They conducted
		 Louis Dreyfus 	five site visits under the Aggregate Refinery Transformation programme and two
		• Sime Darby.	under the grievance handling support process during 2015 – 2018. GAR and EoF discussed the report and action plans to engage five mills for the Collaboration for Transformation (CET) programme focusing on traceability to plantation
			for Transformation (CFT) programme focusing on traceability to plantation. (June 2018).
			 GAR and EOF had a meeting to discuss "Enough is Enough" report and action plan on landscape approach. For more information, please see <u>GAR Grievance</u>
			List (July 2018).
			GAR has conducted multiple site visits to the identified six mills under the
			Aggregate Refinery Transformation programme (TBS, SRJ, SMN, PAJ) and
			grievance handling support process (PSJ, MAS) in the period from 2015 to 2018.
			GAR met PT MAS management to ask for a stronger commitment on traceability
			to plantation (TTP). PT MAS stated it had achieved 30% TTP as of October 2018
			and agreed to reach 100% TTP by end of Q1 2019. PT MAS also welcomed GAR's
			plan to support them in achieving the full traceability to the plantation in CFT
			programme. For more information, please see <u>GAR Grievance List</u> (October 2018).
			 GAR reviewed the TTP of BIM, MAS, PSJ, PAJ, TS, SMN, and SRJ, and expects all to reach 100% TTP by July 2019. Refer to GAR's <u>Grievance List</u> (February 2019).
			• ADM had clarified on their <u>Grievance Log</u> that they do not source directly from
			the area, and have engaged with the relevant suppliers to understand their suppliers' actions.
			• Cargill does not source directly from the area but receive oils through trading
			partners. More information on Cargill's engagements through its direct suppliers
			is available on Cargill's Grievance List. Cargill advises its direct suppliers to
			collect traceability to plantation data in these areas and is engaged with other
			palm oil companies to pursue a landscape programme for sustainable palm oil in
			Siak and Pelalawan areas.
			Bunge does not directly source from the area and is working closely with its suppliers to address allogations related to indirect expective
			suppliers to address allegations related to indirect exposure.
			LDC does not directly source from the alleged mills in the report and is working closedu with its immediate trading patterns to address allegations related to
			closely with its immediate trading partner to address allegations related to
			indirect exposure.
			To address high risk mills and better resource management in the area, Unilever
			has been conducting site verifications of palm oil mills that have been identified

					as being in areas of high environmental risk, together with Proforest and Daemeter. This builds off of other site verification activities that have been conducted by Unilever suppliers. Unilever is also in part of a coalition of palm oil growers, traders, and buyers supporting a landscape project that involves the local government and civil society organizations focusing on the districts of Siak and Pelalawan in Riau. More information can be found <u>here</u> .
A3	April 2015	Forest Peoples Programme (FPP), LinkAR- Borneo	Alleged issue concerning land rights in new concessionsForest Peoples Programme published allegations in a statement titled "Golden Agri- Resources still in violation of RSPO standards" (April 2015). This is with regards to prior complaints raised to the RSPO about PT Kartika Pratama Cipta, a GAR subsidiary.Forest Peoples Programme published allegations in a joint statement of 43 Indonesian civil society organizations reporting alleged workers' rights abuses by PT Sawit Mas Sejahtera (Sinar Mas) in South Sumatra (July	Golden Agri Resources (GAR)	 On PT KPC: Unilever has engaged FPP and GAR to discuss allegations and action plans to take in 2017. GAR stated that it is continuing active dialogue with FPP. GAR released quarterly complaint progress reports, available to access on the <u>RSPO Complaints Panel</u> site. In its latest (Q4 2018) report, GAR reported completion of major actions and ongoing progress in implementing FPIC remediation, and requested RSPO to close the complaint for monitoring. GAR provided an update to Unilever that it awaited RSPO's instructions for a further review of the HCV assessments and related management and monitoring plans (February 2019). On PT SMS: GAR update to Unilever on the Sawit Mas Sejahtera case: "A statement from GAR management was sent to all related stakeholders stating the dispute between PT SMS and SBPKS-GSBI labour union has been settled. This grievance is considered closed." For more information, please see <u>GAR Grievance List.</u>
A2	April 2014	Rainforest Action Network (RAN)	2017). Deforestation and human rights violations allegation 2014 RAN report "Conflict Palm Oil in Practice" alleged deforestation and human rights abuses among various of KLK's operations. A follow up progress report in 2015 cited allegations of ongoing conflict with communities in Liberia, threatened social conflict and deforestation in Papua New Guinea, and labor and deforestation risks in its Indonesian and global operations.	Kuala Lumpur Kepong (KLK)	 KLK provided updates on actions taken (March 2016). KLK had performed participatory mapping exercise in Liberia as reported in Sustainability Report (March 2017). KLK commits to address issues raised by RAN (March 2017). Unilever sent a letter to KLK in August 2017, followed by a meeting in Singapore in September 2017. KLK provided updates to Unilever regarding grievances in their supply chain in August and November 2018. KLK has reviewed and updated its <u>Sustainability Policy</u>, outlining timelines and deliverables to demonstrate the Group's environmental, social, traceability, governance, compliance, and monitoring commitments. The statement is available <u>here</u> (August 2018). Following good progress, Unilever considered the grievance closed for monitoring of the supplier's implementation of commitments.

			RAN recommended customers and financiers to require KLK to take several actions to address remaining risks (September 2016). KLK was also mentioned as having an alleged relative lack of progress in implementing NDPE commitments in Greenpeace's report " <u>Still Cooking the Climate</u> " (November 2017).		
A1	March 2010	Greenpeace, Rainforest Action Network (RAN), Forest Peoples Programme, Grassroots coalition (<i>Pelita case</i>) Aidenvironment (<i>Ketapang</i> <i>case</i>)	 Community rights and deforestation issues allegation IOI Pelita case was raised in 2010 to the RSPO Complaint Panel and lodged by 11 international and NGO Grassroots and the local Long Teran Kanan community in Sarawak (2010). IOI concessions in Ketapang, West Kalimantan case raised in the RSPO Complaint Panel in 2015 a grievance related to alleged land clearing and illegal planting (2015). The IOI Pelita allegations are briefly mentioned in the Greenpeace 2017 report "Dirty Bankers". 	IOI Group After the acquisition of IOI Loders by Bunge in 2018, Unilever's direct relationship goes mostly through Bunge Loders Croklaan.	 Unilever was one of the first consumer goods companies publicly to announce suspension of buying palm oil from IOI, after it was suspended by the RSPO (March 2016). IOI and Aidenvironment issued a joint statement on the closure of the Ketapang complaints (December 2016). Unilever discussed with RAN, Grassroots, and IOI (directly and via email) about the allegations. Greenpeace officially halts campaign against IOI that has 'come a long way' (April 2017). IOI explored the Terms of Reference for an independent IOI Sustainability Advisory Panel (May 2017). IOI published a Sustainability Implementation Plan (July 2017) and a Sustainability Progress Update (August 2017). Unilever resumed palm oil sourcing from IOI Group (August 2017) following good progress. Since 2017, IOI reports quarterly through implementation of its Group Sustainability Palm Oil Plan. On the Pelita case, IOI and Grassroots worked on a joint action plan underwent review by Pelita and submitted to the <u>RSPO.</u> The action plan underwent review by the RSPO, who asked for clarification on Grassroots' role as complainant (December 2017). The sale of 70% of IOI LC to Bunge was completed, creating a new entity "Bunge Loders Croklaan" Or BLC. Unilever continue to engage with BLC for matters pertaining to IOI (March 2018). Pelita resolution plan received a conditional endorsement by the RSPO Complaints Panel (June 2018). IOI went ahead to socialize the plan to eleven communities involved in the dispute, June - July 2018. The socialization update is provided <u>here</u> (July 2018).

requirements.

B. Grievances against third-party/indirect, group-level suppliers raised to Unilever

Indirect/ third-party suppliers do not have a contract with Unilever, but our direct suppliers are currently sourcing from or have previously sourced in-scope commodities from them.

Ref.	Date	Stakeholder	Subject matter of grievance allegation	Supplier(s) link to Unilever SC	Latest Unilever and Unilever supplier actions to address the grievance allegations
B52	Nov 2024	Forest People's Programme	Allegations of human rights violations Allegations of human, land, and community rights violations in Colombia. Communities and FPP are reported to have lodged a complaint with the RSPO. Further information and press release are available <u>here</u> .	Grupo Oleoflores Unilever has no direct sourcing relationship with the supplier, but may be exposed through a supplier.	 Upon receiving this information, Unilever reached out to our direct supplier to obtain further information on the allegations raised (December 2024).
B51	Oct 2024	Mighty Earth	Rapid Response 46 Allegation of noncompliant clearing tied to a palm oil producer, and plan to carry out noncompliant forest clearing. Report is available <u>here</u> .	Unilever has no sourcing relationship with Rimbunan Hijau Group and Tetangga Akrab. Please also refer to the <u>Unilever's Suspended or</u> <u>No-Buy List of Palm Oil</u> <u>Companies</u> (August 2024).	 We investigated our supply chain link based on verification of the alerts and presence in our supply chain, and responded to Mighty Earth (October 2024). We deem the grievances to be outside of our supply chain.
B50	Aug 2024	Mighty Earth	Rapid Response 45 Allegation of noncompliant clearing tied to a palm oil producer. Report is available <u>here</u> .	Unilever has no direct sourcing relationship with the groups mentioned in the report, but may be exposed to Yayasan Sabah through our suppliers. Unilever do not source from the NSS Group, Ciliandry Anky Abadi (CAA), Double Dynasty, and Medan Mestika. Please also refer to the <u>Unilever's Suspended or</u> <u>No-Buy List of Palm Oil</u> <u>Companies</u> (August 2024).	 We investigated our supply chain link based on verification of the alerts and presence in our supply chain, and responded to Mighty Earth (August 2024). Based on our latest traceability data, we find no supply chain links to NSS Group, Fangiono Family, and Double Dynasty. Yayasan Sabah: we previously investigated the clearings with our suppliers in response to Rapid Response 36 (see case: B33). Our monitoring data with partners also suggested active clearings in 2024, and we reached out to one supplier that was connected to Yayasan Sabah. The supplier has excluded all mills from supply to Unilever in Q3 2024. Medan Mestika: We reached out to our supplier Wilmar on the reports. Wilmar reported that their team has visited the site to investigate. They confirmed that the land clearing was located outside of Medan Mestika's indicated planted areas per its MSPO report and not done by the plantation company. They have engaged the surrounding community on NDPE. No supply from Medan Mestika is supplied to Unilever.

B49	Jun 2024	Mighty Earth	Rapid Response 44 Allegation of noncompliant clearing tied to a palm oil producer. Report is available <u>here</u> .	Unilever has no direct sourcing relationship with the Abdi Budi Mulia Group but may have past exposure through our suppliers.	 We investigated our supply chain link based on verification of the alerts and ownership attribution by our sustainability partner (July 2023). All suppliers that indicated supply chain link with the identified producer group have suspended purchases, we therefore deem this grievance to be out of our supply chain (June 2024).
B48	Mar 2024	Rainforest Action Network	Allegation of noncompliant development and sourcing from the Rawa Singkil Wildlife Reserve RAN reported on two mills being built in Aceh Selatan that pose risk to worsen the unsustainable oil palm development around and inside the Rawa Singkil Wildlife Reserve. Mill PT Aceh Trumon Anugerah Kita is allegedly responsible for polluting the local waterways. A second article reported on the alleged large-scale clearance by plantation PT Sawit Panen Terus in Subulussalam, Aceh. The articles are available on the Leuser Watch website (<u>1 & 2</u>).	Unilever has no direct sourcing relationship with mills identified; PT Aceh Trumon Anugerah Kita and PT Aceh Bakongan Eka Nabati, and plantation company PT Sawit Panen Terus (SPT).	 Unilever has categorized the mill as high risk in our due diligence process and reached out to one potentially linked supplier on the allegations. We will ensure no supply from the mills and plantation mentioned can be supplied to Unilever before a robust traceability-to-plantation (TTP) system is demonstrated, and in the case of PT SPT, remediation steps in line with our protocols and requirements are taken. Unilever reached out to direct suppliers with links to third-party supply from the Aceh province. Our suppliers stated they have no supply coming from the mills identified, and one supplier states it considers the plantation to be high risk. Our suppliers confirm they have a strict due diligence procedure in place before they can start purchasing from any potential mills, and that the procedure covered compliance to NDPE and traceability-to-plantation (March 2024). Suppliers Wilmar, GAR, and Musim Mas will conduct a joint workshop in Subulussalam, Aceh, where they will invite local governments, civil society organizations, companies and mills operating in the region, smallholders, and local communities to discuss the regulations and management of the landscape, NDPE policy, traceability, and grievance mechanism (March 2024).
B47	Mar 2024	Rainforest Action Network	Allegation of illegal deforestation within the Leuser Ecosystem RAN <u>investigation</u> alleged illegal expansions into protected areas within the Gunung Leuser National Park in Aceh, Indonesia. The <u>article</u> is	Unilever has no sourcing relationship with actors identified in the article, Mr. Bukhary and Mr. Jumady.	 Unilever reached out to direct suppliers with links to palm oil sourcing from the Aceh province. Our suppliers confirmed there is no supply coming from the identified noncompliant developments to their supply chains. At least two suppliers have stated that they put the identified actors on a no-buy list which was then distributed to their third-party suppliers in the area. Despite not being linked to any of the named actors, we have communicated our expectations that suppliers do not buy from noncompliant sources before steps are taken by these actors to remediate the deforestation and land rights grievances.

			available on the Leuser Watch website.		 Our partners identified that the clearings took place in areas that saw a change in governing jurisdiction from Langkat, North Sumatera province, to Tamiang, Aceh province, based on the Indonesia Ministry of Home Affairs <u>Regulation</u> no. 28, 2020. Unilever with IDH and the coalition supporting jurisdictional programs in the Tamiang district reviewed the information gathered on the cases and discussed what actions can be jointly taken, leveraging the Centre of Excellence established at the district level.
B46	Mar 2024	Mighty Earth	Rapid Response 43 Allegation of noncompliant peat and forest clearing tied to palm producers. Report is available <u>here</u> .	Unilever has no direct sourcing relationship with the Alas Kusuma Group (AKG) and PIJ Holdings Sdn Bhd.	 We investigated our supply chain link based on verification of the alerts and ownership attribution by our sustainability partners (March 2023). Alas Kusuma: We did not find any direct supply chain link, and where we had supply chain exposure through indirect trade with the suppliers linked to the mills, these have all been ceased: Pundi Group suspended in 2022, KPN suspended in 2023, and PT CTP is not sourcing from AKG (February 2024). PIJ Plantations Ladang Nilam: We are indirectly linked to Kulim Berhad but not PIJ Plantations. Analysis by our sustainability partners found that Kulim Berhad and PIJ Plantations are owned by the Johor State via different holding companies and are therefore of different corporate groups. We therefore deem this grievance to be outside of our supply chain (March 2024).
B45	Jun 2023	Mighty Earth	Rapid Response 42 Allegation of noncompliant peat forest clearing between April 2022 and May 2023 at PT Alamsari Lestari. Report available here.	Unilever has no direct sourcing relationship with Mentari Group, but may be exposed through our suppliers.	 Unilever detected the clearing as alerts through our sustainability partner and investigated our supply chain link. We reached out to two suppliers with possible supply chain links with the group mills. One supplier, Bunge, has suspended sourcing from the group. See also Bunge's <u>Grievance List</u> (August 2023).
B44	Mar 2023		Clarification on deforestation alerts detected by a satellite monitoring provider Unilever is following up on deforestation alerts detected by a monitoring provider which we received through our stakeholders. Two documents contained nine alerts detected in 2021 were alleged to be linked to the Unilever supply chain.	 Unilever has no direct sourcing relationship with Triputra, Sumber Tani Agung, Union Sampoerna, Yayasan Sabah, Bumitama, and Sentosa Kalimantan Jaya. However, these oil palm growers may be connected to our direct suppliers. Permata Sawit Mandiri and Samling are not Unilever suppliers. Please 	 We requested our sustainability partners to verify the alerts and ownership attribution. In cases where we have direct sourcing relationship with the integrated supplier, we reached out directly (December 2022). In three cases, namely those concerning Wilmar, Triputra, and Sumber Tani Agung, clearing took place outside of concession boundaries. Grievance was therefore not upheld. In the case on Union Sampoerna, our supplier Wilmar has clarified based on their investigation that the area cleared was shrubs and not classified as forest based on a 2014 HCV report and land cover analysis. Grievance was not upheld. In two other cases, Yayasan Sabah and Bumitama, clearing was not done for palm and/or not done by the concession holder. Bumitama had reported on the matter to the RSPO. We continue to monitor the actions taken on these concessions. See also: B33.

				also refer to the <u>Unilever's</u> <u>Suspended or No-Buy List</u> <u>of Palm Oil Companies</u> (August 2024).	 Two cases involve suppliers that are not in the supply chain and have been on Unilever's No-Buy List since 2020. These are PT Permata Sawit Mandiri and Samling. We are engaging our supplier Wilmar on the remaining case on alerts detected at PT Sentosa Kalimantan Jaya (March 2023). Our supplier reported that PT SKJ has a moratorium in place and is carrying out integrated HCV-HCS assessments (December 2023). After investigating through its supply chain partner, Wilmar reported that the concession within which alerts were detected are not PT SKJ. This result and corresponding maps were communicated to us and our monitoring partner (March 2024).
B43	Mar 2023	Mighty Earth	Rapid Response 41 Allegations of noncompliant forest clearing tied to palm oil producers. Full report <u>here</u> .	 Unilever has no direct sourcing relationship with Hardaya Inti Plantations/Central Cipta Murdaya (CCM) group but may be exposed through our suppliers. Unilever has no known supply chain link to the Mukti Group and the Shin Yang Group. Please also refer to the <u>Unilever's Suspended or</u> <u>No-Buy List of Palm Oil</u> <u>Companies</u> (August 2024). 	 We investigated our supply chain link through relevant suppliers based on verification of the alerts and ownership attribution by our sustainability partners (March 2023). Unilever supplier Wilmar has engaged PT Sebuku Inti Plantation (SIP), CCM group, on the issue and clarified in its <u>ineligible grievances list</u> that the location of the alert is not within a forested area and has previously been cultivated by the communities. PT SIP is reported to have adopted a moratorium on land clearing since February 2023 (March 2023). Unilever placed the Shin Yang group on its no-buy list in April 2020, and while we received information that a mill is receiving supply from the group, we currently find no supply chain link to the mill. Grievance was therefore not upheld.
B42	Feb 2023	Rainforest Action Network	Allegations of noncompliant sourcing RAN <u>investigation</u> alleged that palm oil originating from deforesting concessions on the Leuser Ecosystem have been sold through a new palm oil trader.	Unilever has no known supply chain link to PT PT. Inno-Wangsa Oils & Fat or other subsidiaries of the Tanimas Group. Please also refer to the <u>Unilever's Suspended or</u> <u>No-Buy List of Palm Oil</u> <u>Companies</u> (August 2024).	 Unilever reached out to our deforestation monitoring partners to investigate the allegations and any supply chain link to the company on a group level. We currently find no supply chain link to the Tanimas Group. Grievance was therefore not upheld. We will continue to ensure no palm oil from noncompliant and suspended sources in the Leuser Ecosystem is linked to the Unilever supply chain, before steps are taken by the responsible party to assess and remediate the noncompliance.

B41	Feb 2023	Rainforest Action Network	Allegation of land rights violation in group-associated companies Letter to Unilever alleges violation of customary land rights in supplier group- associated timber companies. Report available <u>here</u> .	Unilever has no direct sourcing relationship with Bumitama but may be exposed through our suppliers. Unilever has no sourcing from the Harita group, and its associated timber companies PT. Rodamas Timber Kalimantan (RTK) and PT. Kemakmuran Berkah Timber (KBT).	 Unilever reached out to Bumitama to obtain further information and clarification on the allegations raised (February 2023). We investigated our paper and packaging supply chain and found no materials originating from the companies associated with the Harita group. Bumitama published a <u>response</u> on its website. Harita Group issued a <u>response</u> to RAN (February 2023). We reiterated our support, through Bumitama, for the timber companies to address stakeholders request to release relevant documents that support the confirmation, or formalization, of the cancellation of logging activities in areas identified as customary lands of the Long Isun.
B40	Sept 2022	Global Witness	Allegation of human rights violations Global Witness reported in an article on allegations of human rights and land rights violations linked to two palm oil producers in Brazil, Brasil Biofuels (BBF), and Agropalma (see case A17).	Unilever has no direct sourcing relationship with Brasil Biofuels but may be exposed through our suppliers.	 Upon receiving the article, Unilever reached out to its sole supplier through which indirect link with BBF is indicated (September 2022). The supplier, Cargill, has suspended BBF effective in October 2022, as stated to the Business & Human Rights Resource Centre (BHRRC). More information here.
B39	Sept 2022	Rainforest Action Network	Allegation of noncompliant sourcing from Rawa Singkil Wildlife Reserve with links to the Unilever supply chain RAN published a <u>report</u> alleging FFB originating from inside Rawa Singkil was supplied to mills in Aceh, that are supplying Unilever suppliers. RAN published a follow-up <u>article</u> on its website (October 2022).	Unilever has no direct sourcing relationship with Mr. Mahmudin, Ibu Nasti, PT Global Sawit Semesta (GSS), PT Runding Putra Persada (RPP), and PT Bangun Sempurna Lestari (PT BSL) but may be exposed to the companies through direct suppliers. Please also refer to the <u>Unilever's Suspended or</u> <u>No-Buy List of Palm Oil</u> <u>Companies</u> (August 2024).	 Unilever engaged with its suppliers with indicated link to third party mills mentioned in the report (October 2022). PT GSS sent Apical a <u>clarification letter</u>, stating steps it has taken to address the grievance allegations (September 2022). Our direct supplier GAR no longer sources from PT BSL since December 2021 and did not supply PT GSS to Unilever since 2021. GAR is engaging with PT RPP and arranged a verification of palm operations mentioned in the report beyond what is indicated in the land ownership title of Mr. Mahmudin (Sertifikat Hak Milik, SHM), the broker, and the surrounding area (October 2022). Result of the investigation conducted by GAR and Wilmar, and action plan for Mr. Mahmudin, CV Buana Indah, and PT RPP is published on GAR's grievance list, linked <u>here</u> (November 2022). Due to the inability from Mahmudin to deliver on the commitment, supplier GAR and its suppliers excluded Mahmudin from supply chain and worked on strengthening the traceability of RPP and Buana Indah (July 2023). A joint statement between Musim Mas, GAR, and Wilmar on the matter can be found <u>here</u> (December 2023).

					 Supplier Apical has in collaboration with the Palm Oil Collaboration Group (POCG) undertaken the Independent Verification Working Group (IVWG) pilot in their identified supply chain mill in Aceh (March 2024). The IVWG is co-chaired by Unilever and PepsiCo. Unilever remains committed to supporting a landscape program in the Leuser Ecosystem in Aceh with IDH Sustainable Trade Initiative, and other partners, suppliers, and stakeholders. The programs focus on protection and restoration, improving deforestation monitoring, and supporting livelihoods.
B38	July 2022	Mighty Earth	Rapid Response 40 Allegation of noncompliant clearing at PT Khatulistiwa Agro Abadi and PT Borneo International Anugerah (First Borneo Group). Report <u>here</u> .	Unilever has no direct sourcing with PT Khatulistiwa Agro Abadi, PT Arjuna Utama Sawit, and PT Borneo International Anugerah (First Borneo group).	 Our supplier GAR engaged with the companies in question and deemed the supplier noncompliant. More information on GAR's grievance list (October 2022). GAR has not included palm materials from PT AUS in its supply to Unilever. Unilever will also be engaging with suppliers located outside of Indonesia who are exposed to supply from PT AUS through trade.
B37	May 2022	Mighty Earth	Rapid Response 39 Allegation of noncompliant clearing at PT Sawit Sukses Sejati (SSS), owned by Jalan Abesin Ltd. Full report <u>here</u> .	Unilever has no direct sourcing relationship with Jalan Abesin Ltd., its subsidiaries.	 We investigated our supply chain link through relevant suppliers based on verification of the alerts and ownership attribution by our sustainability partners. With regards to allegations of supply link via Sumber Tani Agung (STA), Unilever suppliers have engaged with STA directly and confirmed currently there is no supply from SSS to STA. Find more information on <u>Wilmar</u> and <u>GAR</u> grievance lists.
B36	March 2022	Friends of the Earth and WALHI	Allegation of noncompliance with regards to land rights and NDPE Friends of the Earth International (FOEI) reached out to Unilever with <u>a report</u> alleging land and community issues in three subsidiaries of Astra Agro Lestari (AAL) in Central and West Sulawesi, Indonesia. In June 2024, FOEI published a report alleging AAL concessions overlap with forest estates. The report is available <u>here</u> .	Unilever has no direct sourcing with Astra Agro Lestari but may be exposed through our direct suppliers.	 We received the report and are engaging with our relevant suppliers on the issue (March 2022). With regards to PT Agro Nusa Abadi (ANA), see also case B27. We received written responses through our direct suppliers on the issue of land rights, environment, and governance. We engaged Astra Agro Lestari (AAL) directly on the allegations raised, with a focus on having the case independently reviewed (May 2022). Unilever sent a list of questions to AAL on the circulated independent report and received a written response. Unilever met with AAL to further response and actions forward (October, December 2022). Unilever met with Friends of the Earth (FOEI) in November 2022. Unilever separately met with AAL and FOEI (March 2023). AAL appointed EcoNusantara (ENS) as a third-party for the further review of their plantations (March 2023). AAL published a progress update. In response to the statements in the update, FOEI published a response. AAL published its response in another progress update (May 2023).

B34	December 2021	Mighty Earth	concession, for which AA Sawit Sdn Bhd is a project proponent. Full report <u>here</u> . Mighty Earth published a report on other subsidiaries of AA Sawit in its <u>Rapid Response</u> <u>40</u> . Rapid Response 37	exposed through our direct suppliers. Unilever has no direct sourcing with Dharma	 (JKSB) with its expectations on NDPE-compliant development, a Stop Work Order (SWO) on PTD 1815, and HCS/HCV assessments. Bunge has suspended trading with this supplier in the meantime. Refer to Bunge's <u>Grievance List</u>. Mewah sourced indirectly from JKSB and had engaged with AA Sawit and JKSB on the allegations. Currently a trade suspension is imposed to facilitate investigation. AA Sawit and Jernih Kemboja are not in Unilever's supply chain in 2022. AA Sawit made a commitment to NDPE (September 2023), and has met requirements for re-entry as set by Wilmar, which includes a recovery plan (October 2023). GAR has engaged DSN on the alleged noncompliance. DSN reported the clearance as carried out by the local community in areas that have not been
					 AAL and ENS published the second verification report (October 2023). FOEI published a response to this report. Following the verification report, we met separately with ENS, AAL, and FOEI (November, December 2023). FOEI published a <u>statement</u> denouncing alleged intimidation on two women. Unilever discussed with FOEI and AAL. AAL issued a <u>response</u> (December 2023). AAL published a <u>statement</u> on the re-appointment of ENS, and further response to the intimidation allegation (February 2024). Unilever met AAL and its sustainability partners (March, April 2024). AAL issued a <u>response</u> to the Genesis Foundation report on the allegation of plantations overlap with Indonesian forest areas (April 2024). Unilever provided feedback to AAL's Draft Action Plan for consultation (May 2024), AAL provided a response (June 2024). AAL released its <u>Action Plan</u> (June 2024). Unilever met with FOEI (July 2024). Unilever met with FOEI (July 2024). Unilever met with AAL's sustainability partners (August 2024). AAL issued a <u>second Progress Report</u> on the Action Plan (September 2024). AAL issued a <u>second Progress Report</u> (October 2024). AAL issued a <u>second Progress Report</u> (October 2024), AAL (December 2024), and FOEI (December 2024) on developments on the grievance cases and way forward. Unilever takes the allegations very seriously and will continue to closely work with AAL, our suppliers, civil society, and relevant parties to further understand the issues and seek to assist and guide towards an independently mediated pathway.

			Allegation of noncompliant clearing at Dharma Satya Nusantara (DSN). Full report <u>here</u> .	Satya Nusantara but may be exposed through our direct suppliers.	 released to PT Kencana Alam Permai (KAP) and it will work with the community to come to an agreement. Refer to <u>GAR's grievance list</u> (December 2021). Wilmar has also engaged DSN on the issue. Field investigation found similarly that clearing was done by local communities on areas that have not been released. More information and DSN's statement can be found on Wilmar's <u>Ineligible Grievance List</u>.
B33	October 2021	Mighty Earth	Rapid Response 36 Allegation of noncompliant clearing by Innoprise Plantations Bhd. Full report <u>here</u> .	Unilever has no direct sourcing with Innoprise Plantations Bhd/ Yayasan Sabah but may be exposed through our direct suppliers.	 We had been previously alerted to this clearing and had reached out to our suppliers (August 2021). Our supplier KLK is indirectly linked to Innoprise and updated us on the results of its investigation with trading partners. It was concluded that the development is not related to oil palm but related to tree-planting. For more information please refer to KLK's <u>grievance list</u> and Wilmar's <u>ineligible grievance list</u>. Our implemented sourcing agreement with our direct supplier does not currently include Yayasan Sabah, but it may still come into our supply chain through indirect exposure. We are monitoring the development with our sustainability partners.
B32	October 2021	Tempo, Mongabay, Betahita, Auriga Nusantara	Allegation of noncompliant sourcing from forest areas Tempo reached out to Unilever regarding their investigation on palm oil that is alleged to be sourced from inside Indonesian forests, and mills from producer groups that are linked to Unilever's supply chain.	Unilever has no direct sourcing with any of the reported suppliers: PT Tasma Puja, PT Ciliandra Perkasa/First Resources, and PT Sumbar Andalas Kencana/Incasi Raya, but may be exposed through our direct suppliers.	 Unilever engaged its supplier that indicated a potential link to the mills mentioned in the report. PT Sumbar Andalas Kencana: PT Incasi Raya clarified that PT SAK only receives FFB from its nucleus and plasma, this includes crops from the truck with a plate number AA1891JH, and that the Bukit Betabuh area is located far away from PT SAK. It has provided a copy of the weighbridge data to our supplier. PT Tasma Puja: Our supplier is indirectly linked and is investigating the case with its trading partner. PT TP informed our supplier that a survey to the farm is carried out as due diligence against each of their new FFB suppliers to ensure no sourcing from noncompliant sources. Our supplier is directly engaging the company on the allegations of conflict with plasma smallholders. PT Ciliandra Perkasa: Our supplier is engaging the company on the allegations raised. The mill and its parent company are included in the <u>Unilever's Suspended or No-Buy List of Palm Oil Companies</u> (August 2024). We maintain that the supplier would need to agree on and implement appropriate remediation plans before they can be included in supply to Unilever. On the issue of overlapping areas with Forest Estates for all companies mentioned in the report, we received clarification that while the plantation companies have secured their location permits and HGU for their operations, the concessions overlap with areas designated as Forest Estates by the government in later years. The companies are therefore liable to administrative sanctions as stipulated in Article 110A of the UUCK (Undang-Undang Cipta Kerja) issued in 2020, and the government provided avenues in the Article 110A & 110B of the UUCK and Government Regulation No 24 Year 2021 for these

					 companies to solve their administrative issues of overlapping with Forest Estates during the 3-year grace period. We will be monitoring the progress through our suppliers.
B31	October 2021	Global Witness	Allegation of NDPE noncompliance in Papua New Guinea Global Witness report titled "The true price of palm oil" named several suppliers in an allegation of deforestation and human rights abuses in Papua New Guinea (PNG).	Unilever has no direct sourcing with any of the suppliers named in the report: Tzen Plantation/East New Britain Resources Group, Gilford Ltd./ Rimbunan Hijau Group, and Bewani Oil Palm Plantations Ltd (BOPPL)/Top Leap Holdings but may be exposed through our direct suppliers. Please also refer to the <u>Unilever's Suspended or</u> <u>No-Buy List of Palm Oil</u> <u>Companies</u> (August 2024).	 East New Britain Resources Group (ENB): Responding to allegations raised in the report, our supplier KLK has reached out to its trading partner that indicated a groupwide trade suspension has been imposed until the allegations have been fully investigated, and their last purchase from the group was in April 2020. Please refer to information on KLK's <u>Grievance Redressal List</u> (October 2021). With regards to Unilever direct suppliers that were indicated to be linked to ENB in 2020, all no longer indicate such link to ENB in their supply to Unilever in 2021. Supplier Cargill has imposed a suspension, as reported on its <u>grievance list</u> (March 2022). Rimbunan Hijau: See also B15. In response to the allegations raised in the current report, Unilever's direct supplier that indicated a supply chain link with Rimbunan Hijau group has initiated engagement with their trading partners. Please refer to information on KLK's <u>Grievance Redressal List</u> (October 2021). Unilever supplier Mewah has also suspended purchases from both ENB and RH groups pending an investigation into the allegations. Refer to information on Mewah's <u>Grievance Log</u> (August 2021). Bewani Oil Palm Plantations Ltd (BOPPL): On BOPPL and the alleged link to Prosper Group/Tee Family, see also B15, B18. Unilever takes the allegations in the report very seriously and will continue to monitor the cases in close engagement with our suppliers and consultants.
B30	August 2021	Teraju Foundation	Allegation of labor rights violations Report sent to Unilever about alleged labor rights violations at PT MAR, subsidiary of PT Pasifik Agro Sejahtera, involving a worker, which includes issues of employment terms, non-payment of benefits and salary, unlawful termination, and FPIC to plasma.	Unilever has no direct sourcing relationship with PT PAS on a group level but may be exposed through our direct suppliers.	 Unilever has engaged relevant suppliers who are investigating the issue (August 2021) directly with the management of PT PAS. Parties in the grievance were reported to have had a series of virtual meetings to discuss but have not yet reached a resolution. Parties in the grievance informed our direct suppliers that they are in the process of appointing a mediator from the RSPO (August 2021).
B29	June 2021	Rainforest Action Network (RAN)	Allegation of noncompliant sourcing from the Leuser Ecosystem with links to Unilever supply chain	Unilever has no direct sourcing relationship with PT Laot Bangko or PT Global Sawit Semesta but	 Unilever acknowledged the grievance to RAN and contacted its supplier Apical on the allegations (July 2021). Unilever met with Apical to inquire further on the case, reiterate its request to suspend PT LB and PT GSS, and understand further Apical's due diligence system in place for third-party suppliers that are in the vicinity of the Leuser Ecosystem.

			An article on the RAN Leuser Watch blog alleges the transporting of FFB from PT Laot Bangko, a company with allegations of noncompliant clearing in the Leuser Ecosystem (see also: B19) to the mill PT Global Sawit Semesta (see also: B20), which was then sent to PT Sari Dumai, a refinery operated by Apical.	may be exposed through our direct suppliers. Please also refer to the <u>Unilever's Suspended or</u> <u>No-Buy List of Palm Oil</u> <u>Companies</u> (August 2024).		Apical shared to Unilever its action plan to strengthen traceability and deforestation monitoring mechanism in Aceh, the action plan of PT Laot Bangko, and updated Unilever on its engagement with PT GSS and PT LB. Apical has also ceased sourcing from both companies. PT LB has reportedly committed to a moratorium, adopted an NDPE policy, and initiated the process to conduct an HCS-HCV assessment. Meanwhile, PT GSS has reportedly committed to an action plan with Apical to strengthen supply chain monitoring and traceability to ensure such violation will not be repeated. Per Apical's <u>Grievance Tracker</u> , 8 out of 10 points in the action plan have been completed (September 2023). This includes re-submission of an integrated <u>HCV- HCSA Assessment</u> of PT LB that was deemed satisfactory to the HCV Network (June 2023). We will continue to engage Apical to monitor the progress.
B28	June 2021	Mighty Earth	Rapid Response 34 Mighty Earth raised its Rapid Response (RR) report number 34 (June 2021) alleging noncompliance to NDPE by palm oil producers.	Unilever has no direct relationship with suppliers listed: Eagle High, Triputra Agro, and Rimbunan Hijau, but may be exposed through our direct suppliers.	•	 Eagle High: Eagle High Plantation (EHP) conducted a site visit and reported that clearing was not done by the plantation company, but by villagers who claimed they were not aware of the area belonging to PT TSP. EHP has provided clarification of their further engagement to Wilmar (refer to its ineligible grievance list) who then deem grievance closed (July 2021). Triputra Agro: Triputra Agro clarified in a report to Unilever suppliers Wilmar and GAR that clearance took place outside of the concession (June 2021). Rimbunan Hijau: RH reported to our direct supplier that the area is not planted with oil palm but is designated for forest replanting by the State Forestry and has been replanted with bamboo and Eucalyptus pellita in line with the State Forestry directives. Refer to Mewah's Grievance Log (August 2021). PT Agincourt Resources: PT Agincourt Resources is not a palm oil company and is not a supplier to Unilever. We have stated our concerns on the allegations to the company and encouraged to halt developments before HCS/HCV assessments have been completed and submitted for independent review.
B27	June 2021	Forest People's Programme	Allegation of ongoing violation of human rights A report compiled by TuK Indonesia, PUSAKA, Walhi, and Forest Peoples Programme contains allegations of unresolved human rights grievances at ten plantation companies linked to global brands.	Unilever has no direct relationship with PTPN XIII, Astra Agro Lestari, PT Mitra Austral Sejahtera, PT Kurnia Luwuk Sejati, and PT Hardaya Inti Plantation, but may be exposed through our direct suppliers.		Unilever contacted Astra Agro Lestari (AAL) on the allegations related to Astra (PT ANA and PT SAL). Astra clarified to Unilever their perspective on the incidents/points raised in the allegation. With regards to PT ANA, our supplier Wilmar has also been engaging AAL in response to grievances raised by WALHI (March 2021). Refer to Wilmar's <u>grievance list</u> .

B26	January 2021	Mighty Earth	Rapid Response 32 Mighty Earth raised its Rapid Response (RR) report number <u>32</u> (January 2021) alleging noncompliance to NDPE by palm oil producers.	Unilever has no direct relationship with suppliers listed: SIPEF, Bunga Group, and YPJ Plantations Sdn Bhd, but may be exposed through our direct suppliers.	 Unilever ran a review on all cases raised in the Rapid Response reports, by comparing allegations with Unilever's internal deforestation alert and monitoring system with supply chain links to Unilever. YPJ Plantations Sdn Bhd: Unilever engaged its suppliers and consultant on the case. Please find more detailed information on Wilmar's grievance list, Sime Darby's grievance list, and KLK grievance list (May 2021). Bunga Group: Unilever is not directly linked to Value Greenworld Sdn Bhd, but a mill owned by a Bunga subsidiary through several suppliers. Bunge reported that the Bunga group has committed to a moratorium and an NDPE policy (January 2021). Wilmar has ceased sourcing (March 2021). Other suppliers are monitoring the case through suppliers or directly (refer to the disclosure by Mewah, Sime Darby, and Bunge). SIPEF responded to allegations raised in Rapid Response 32 on its website.
B25	November 2020	Mighty Earth	Rapid Response 30-31 Mighty Earth raised its Rapid Response (RR) report number 30 (August 2020) and <u>31</u> (November 2020).	Unilever has no direct business relationship with Perbadanan Kemajuan Pertanian Negeri Pahang (PKPP), Perbadanan Pertanian Negeri Pahang (PKNP), Yayasan Pahang (YPPH), and Amanah Saham Pahang Bhd (ASPA), but may be exposed through our direct suppliers.	 Unilever ran a review on all cases raised in the Rapid Response reports, by comparing allegations with Unilever's internal deforestation alert and monitoring system with supply chain links to Unilever. Unilever is engaging with Mighty Earth, its monitoring partners, and suppliers on these cases. PKPP Plantation Sdn Bhd: Wilmar responded to Unilever that its supplier LKPP Corporation Sdn Bhd had clarified that it is a different entity from PKPP Plantation Sdn Bhd, and while Wilmar and LKPP does not source from PKPP, it had been engaging directly with PKPP on the allegations raised by Mighty Earth. PKPP has reportedly committed to a moratorium while investigation is ongoing and decided to reserve two lots of land for potential recovery sites (November 2020). Wilmar's last purchase was in November 2020. Refer to Wilmar's grievance list. Cargill and its trading partners had met with PKPP, which resulted in PKPP's agreement to implement a group-wide policy and relevant programs from January 2021. Ongoing discussion on obtaining a written commitment to a recovery plan. More information on Cargill's grievance list (December 2020). PKNP: We do not find an indication of supply chain link to Unilever or Unilever suppliers. Yayasan Pahang: To our supplier Wilmar, and KLK (through its trading partner), YPPH clarified EIA was conducted and the development received approval from local authorities. YP Plantation has reportedly committed to 1) impose a moratorium effective August 2020, and 2) adoption of NDPE, which they have published <u>online</u>. Refer to Wilmar's grievance list and KLK's grievance list. Amanah Saham Pahang: Supplier KLK is investigating the matter and engaging ASPA on the allegation through its trading partner. ASPA has reportedly agreed to adopt an NDPE policy and issued a moratorium on new clearing. Refer to KLK's grievance list.

B24	May 2020	Mighty Earth	 Rapid Response 27-29 Mighty Earth raised its Rapid Response (RR) report number 27 (May 2020), 28, (June 2020), and 29 (July 2020). Cases of indirect suppliers we reviewed to be in scope of our grievance process and will process further are: Capitol Group (RR 29) CB Industrial Product Holdings (detailed information presented in <u>RR 26</u>) 	Unilever has no direct business relationship with Capitol Group, CB Industrial Product Holdings (CBIPH), but may be exposed through our direct suppliers.	 Unilever ran a review on all cases raised in the Rapid Response reports, by comparing allegations with Unilever's internal deforestation alert and monitoring system with supply chain links to Unilever. We excluded cases of which there was insufficient information in the report to be verified (e.g., lack of precise location of where the alleged deforestation case took place) and of palm oil companies that Unilever had already imposed a procurement suspension. Update from our direct supplier Wilmar that PT Medco Papua Hijau Selaras (MPHS)/Capitol Group has committed to a moratorium (September 2020). PT MPHS has submitted HCV/HCS integrated report to be peer-reviewed by HCVRN (July 2021) and prepared management plans for the HCV/HCS areas. More information on Wilmar's grievance list. Supplier Apical reported on its engagement with CBIPH. CBIPH claimed both companies in the Mighty Earth report are registered under Convertible Production Forest land licensing for timber logging by the Forestry Ministry (May 2020). More information on Apical's grievance list.
B23	April 2020	Mighty Earth	Allegation of NDPE noncompliance In an article titled " <u>Samling and</u> <u>Mulia Sawit's Ongoing</u> <u>Deforestation</u> ", Mighty Earth alleges deforestation within the group's Marudi & Batu Belah concessions under Licences for Planted Forests (LPF) in Sarawak. A related article titled " <u>The</u> <u>Coronavirus Climate Profiteers</u> " was published in April 2020.	Samling Unilever does not have direct business relationship with Samling or Glenealy Plantations. Please also refer to the <u>Unilever's Suspended or</u> <u>No-Buy List of Palm Oil</u> <u>Companies</u> (August 2024).	 Unilever requested further information from Mighty Earth with regards to the grievances raised against Samling after which Unilever engaged several direct suppliers indicated to be linked to Samling to request more information on grievance verification and engagement with Samling. Due to the cross-commodity nature of the case, Unilever also requested support from external sustainability experts (April 2020). Unilever checked with KLK about its alleged link to Mulia Sawit. KLK responded to Unilever that it does not purchase from MSA and MSA is not in its supply chain (April 2020). Unilever issued a letter to its direct supplier requesting to impose a procurement suspension of Samling for Unilever's palm oil supply chain including through indirect supply to Unilever (April 2020).
B22	February 2020	Chain Reaction Research	Allegation of top deforesters in 2019 In an article titled " <u>The Chain:</u> <u>Repeat Offenders Continue to</u> <u>Clear Forests for Oil Palm in</u> <u>Southeast Asia</u> ," Chain Reaction Research published their analysis on the ten palm oil companies that combined were responsible for approx.	Unilever does not have any direct business relationship with the ten companies mentioned: Jhonlin Group Mulia Sawit Group Sungai Budi Group/Tunas Baru Lampung Indonusa Group	 Prior to the publication of the report, Unilever had reached out to its main direct suppliers with regards to companies listed due to deforestation alerts in 2019 and/or previous grievances. Jhonlin group: See B18. Mulia Sawit group: See B18. Sungai Budi group: See B15. Indonusa group: See B15. Musirawas group: See B16. With regards to BEST Agro, see case B7.

			39.500 hectares of deforestation and peat development in Indonesia, Malaysia, and Papua New Guinea in 2019.	 Peputra Group-related Musirawas Group Sulaidy Rugao Shuangma BEST Agro Golden Land Bhd Please also refer to the Unilever's Suspended or No-Buy List of Palm Oil Companies (August 2024). 	 In April 2020, Unilever sent a letter to its main direct suppliers to reiterate expectations that suppliers remove from Unilever's supply chain companies that are alleged to be responsible for significant deforestation in 2019 and therefore may be failing to meet the requirements set out in of the Unilever Sustainable Palm Oil Policy. This includes the ten group companies raised in the CRR report. Unilever's main suppliers confirm they have taken actions to exclude the following groups from supply to Unilever: Sulaidy, Jhonlin group, Mulia Sawit group, Tunas Baru Lampung, Indonusa, Shuangma group, Best Agro, Musirawas, Golden Land Bhd. Unilever will continue to monitor remaining supply chain links to groups indicated to be indirectly linked to Unilever. Peputra group-related: With regards to PT Marita Makmur Jaya (PT MMJ), suppliers raised questions regarding the Peputra group and the link of PT MMJ to the group (e.g. see GAR's <u>statement</u> on this issue). Unilever is reviewing group definitions used to assess the case, including that of the RSPO, to determine the extent to which Peputra group shall be included in the engagement with PT MMJ on the allegations and seeks constructive ways to address the ongoing clearing at PT MMJ. In the meantime, suppliers should ensure supply from PT MMJ does not get into Unilever's supply chain. With regards to an <u>update</u> in 2020 that alleged a link between Sulaidy-owned plantation with a Pundi Group mill, Unilever reached out to its direct supplier Wilmar who reported that the mill has stopped sourcing from PT PAL effective September 1st 2020, and has shared list of FFB suppliers to Wilmar for monitoring. More detailed information on Wilmar's grievance list (September 2020).
B21	November 2019	Greenpeace	Allegations of noncompliance with regards to forest fires Greenpeace published a report titled "Burning down the house" with allegations that Unilever is purchasing palm oil from producers linked to forest fires and operations subject to court action, administrative sanctions, or other government intervention as a result of fires in the past few years. A related <u>press release</u> on transboundary haze was also published in November 2019.	Unilever is allegedly linked to the following suppliers: Agro Inti Semesta, Astra Agro Lestari, ANJ, Bakrie, Bumitama, Citra Borneo Indah, Fangiono Agro, Gagah Putra Satria, GAMA, Genting, JA Wattie, Korindo, Matahari Kahuripan (Makin), NPC Resources, Pasifik Agro Sentosa, Rachmat, Rajawali/Eagle High, Salim, SIPEF, Sungai Budi/Tunas Baru Lampung, Tianjin Julong, TSH Resources, Waimusi Agroindah, Arjuna Utama Sawit, Provident Agro,	 Prior to the report publication on November 4, Greenpeace sent Unilever a letter detailing a new publication on October 30 (2019). Unilever reached out to its direct suppliers to verify the allegations: Apical, Bunge, Cargill, KLK, Musim Mas, PTPN, Sime Darby, GAR, and Wilmar, requesting clarification on fires and hotspots in the suppliers' direct operations, sealed operations or fines, links to third-party suppliers linked to forest fires in 2015-2018, and burnt areas in 2019 detected by Unilever's monitoring system, if any (November 2019). Unilever direct suppliers clarified which third party suppliers listed are present in their supply chain and are engaging those they are sourcing from to investigate the allegations. Unilever is monitoring the progress. Some suppliers were subject to previous cases and engagements: ANJ: See B4. Bumitama: See B15. Citra Borneo Indah: See B2. Fangiono Agro: See B10. GAMA: See B15. JA Wattie: See B16.

				Teguhkarya Wanalestari, Djarum/HPI Agro, Nusantara Sawit Persada, Surya Bratasena Plantation. Unilever has no direct sourcing relationship with the suppliers above but may be exposed through our direct suppliers.	 Korindo: See B15. NPC Resources: See B15. Salim: See B3. Sungai Budi/Tunas Baru Lampung: See B15. Djarum/HPI Agro: B15. Unilever direct suppliers have stopped sourcing from some of the suppliers listed: ANJ, Citra Borneo Indah, JA Wattie, Salim, Sungai Budi. Unilever and its suppliers are looking into the mills Greenpeace reported as associated with these groups. Unilever takes this issue seriously and will continue to engage with its suppliers to resolve any noncompliance with regards to fires. Unilever's work with Earth Equalizer and Aidenvironment allows us to investigate peat and forest burnt areas via satellite images. We are also involved in other monitoring initiatives and recently invested into supporting earlier detection of deforestation using radar waves which will be made available through WRI's Global Forest Watch platform. Finally, we are engaging with the RSPO on cases related to RSPO members.
B20	Sept 2019	Rainforest Action Network (RAN)	Allegation of noncompliant sourcing from the Rawa Singkil Wildlife Reserve with links to Unilever supply chain A report published by RAN alleges the transporting of FFB from inside Rawa Singkil Wildlife Reserve to a nearby palm broker, which were then sent to two mills supplying refineries of Unilever suppliers.	Unilever has no direct sourcing relationship with PT Global Sawit Semesta (GSS) and PT Samudera Sawit Nusantara (SSN) but may be exposed to the companies through direct suppliers. Please also refer to the <u>Unilever's Suspended or</u> <u>No-Buy List of Palm Oil</u> <u>Companies</u> (August 2024).	 RAN notified Unilever of the upcoming release of the results of their investigations (September 2019). Unilever responded to the notification. Unilever immediately contacted its suppliers with indication of direct sourcing from around the Rawa Singkil area. Unilever suppliers GAR and Musim Mas are both investigating the case with PT GSS and PT SSN (October 2019) and have published an official response on their respective websites (here and here). Our suppliers' site visits with both mills are scheduled in October 2019. Unilever had requested its suppliers GAR and Musim Mas to exclude any palm oil products coming from PT GSS and PT SSN from its direct contract with them, and to ensure the mills have a verifiable traceability system and a mechanism to monitor NDPE compliance before GAR and Musim Mas resume linking supply from these mills to Unilever (October 2019).
B19	May 2019	Rainforest Action Network (RAN)	Deforestation in Leuser Ecosystem (Aceh) Allegation In May 2019, Rainforest Action Network formally submitted grievances on twelve companies with allegations of noncompliance as documented on multiple reports and articles on LeuserWatch.org.	Unilever has no direct sourcing relationship with any of the companies listed. PT Perkebunan Nusantara I (PTPN I), PT Laot Bangko, PT Teupin Lada, and PT Beurata Subur Persada are either third-party/indirect	 Unilever has notified its direct suppliers with potential sourcing links to the area. Unilever also works with Aidenvironment to verify the allegations through the deforestation monitoring platform and deployment of field teams. GAR responded to Unilever with information that eleven of the companies identified in the May 2019 grievance are not linked to their supply chain, and they are engaging directly with one supplier on the allegation (May 2019). GAR has previously reported on their engagement progress with <u>PT Indo Sawit</u> <u>Perkasa</u>, <u>PT Agra Bumi Niaga</u>, <u>PT Dua Perkasa Lestari</u>, and <u>PT Laot Bangko</u>.

			 These companies are: PT Nia Yulided PT Putra Kurnia PT Tualang Raya PT Indo Alam PT Tegas Nusantara PT Indo Sawit Perkasa PT Perkebunan Nusantara (PTPN) I Blang Tualang PT Agra Bumi Niaga PT Surya Panen Subur II (See also: B6) PT Kallista Alam PT Dua Perkasa Lestari PT Laot Bangko In August 2020, RAN published: Article alleging FFB from PT Indo Alam is sent to the mill PT Teupin Lada. Article alleging ongoing social conflict, irregular permitting procedure, and development on peat at PT 	suppliers that may enter Unilever's supply chain through trade or belong to the same group company as an indirect supplier to Unilever.	 Musim Mas has responded that it does not source FFB directly from any of the suppliers listed and is currently investigating whether these companies are linked to its supply chain. Cargill notified Unilever that in agreement with Cargill's grievance management expectations, its supplier has ceased sourcing from the PT Laot Bangko's group-level company (September 2019). Bunge notified Unilever that clearing had been verified by their supplier, and criteria have been set before PT Laot Bangko and its group-level company can gain supply chain re-entry. More information on Bunge's grievance list (September 2019). Unilever partnering with Musim Mas and PepsiCo are collaborating to support the Verified Sourcing Area (VSA) program in Aceh, developed by the IDH Sustainable Trade Initiative, to increase sustainable palm oil production while protecting the Leuser Ecosystem. This was initiated by the signing of the Production, Protection, and Inclusion (PPI) Compact by the government of Aceh Tamiang District with multiple local stakeholders (December 2019). With regards to the new allegations raised in 2020, Unilever immediately reached out to suppliers with links to the third-party mills to verify the allegations. Supplier GAR reported on their engagement with PT Teupin Lada, citing PT TL committed to exclude PT Indo Alam from its supply chain effective in August 2020, and engaging PT TL to reach 100%TTP by Q4 2020. More information on GAR's grievance list. Wilmar has engaged PT Teupin Lada after detecting the issue through its Leuser
			that FFB from the plantation is being sent to mill PT		ceased sourcing from PT Indo Alam. See Wilmar's <u>grievance list</u> .
B18	Feb 2019	Chain Reaction Research	Beurata Subur Persada. List of alleged top ten deforesters in Southeast Asia Chain Reaction Research listed allegations of top ten deforesters in 2018 based on alleged hectares deforested, and how it alleges that NDPE policy uptake has influenced the year-on-year decline. On the list are several companies that are stated to remain connected to buyers with NDPE policies.	Unilever does not have any direct business relationship with Jhonlin group, Tanah Merah project, CAA, BLD plantations, Tee family/Prosper group, Mulia Sawit Agro, Sungai Budi Group/Tunas Baru Lampung, Citra Borneo Indah/PT SSMS, and ANJ. However, these are third- party/indirect suppliers that may enter Unilever's	 Upon publication of this article, Unilever investigated our supply chain for the existence of any links with the suppliers listed. Jhonlin group: Unilever reached out to its suppliers with links to the Jhonlin group to request confirmation on the allegations (February 2019). Unilever's direct suppliers with links to this group responded that they are investigating into the allegations, with one indicating they are no longer sourcing from the group. Bunge's latest mill list (Q2 2019) indicated they no longer source from Batulicin Agro. We reiterated our request that suppliers exclude Jhonlin from supply to Unilever in April 2020. Tanah Merah project: See B12. CAA: See B10. BLD: Unilever reached out to two suppliers with supply chain links to BLD. Both suppliers have indicated they no longer source from the company in 2018. Refer to Bunge's grievance list and Cargill's grievance list (February 2019).

				supply chain through trade. Please also refer to the <u>Unilever's Suspended or</u> <u>No-Buy List of Palm Oil</u> <u>Companies</u> (August 2024).	 Tee family/Prosper: See B15. Mulia Sawit Agro: Unilever reached out to its suppliers who have indicated they no longer buy from Mulia Sawit Agro. Refer to Bunge's grievance list, Cargill's grievance list and Apical's grievance update (June 2019). We reiterated our request that suppliers exclude Mulia Sawit from supply to Unilever in April 2020. Sungai Budi group: See B15. Citra Borneo Indah: See B2. ANJ: Unilever has engaged suppliers that previously indicated ANJ mills is in their supply chain, all of which have adopted a "no buy" position on ANJ. For ANJ's progress, see B4.
B17	Feb 2019	Foresthints.com	 NDPE noncompliance allegations Foresthints released an article alleging Pundi Lahan Khatulistiwa group of continually clearing and draining peat forests at PT Pinang Witmas Abadi (PWA) in West Kalimantan. 	Pundi Lahan Khatulistiwa group Unilever does not have direct business relationship with the Pundi group.	 In February 2019, Unilever contacted direct suppliers with links to the Pundi group immediately after receiving the Foresthints article. Cargill responded to Unilever that Pundi was an indirect supplier, and Cargill no longer sources from Pundi. More information on Cargill's indirect supplier grievance list. Bunge responded that it has ceased sourcing from Pundi and notified their supplier, as is also reflected on the <u>Bunge grievance list</u> (last update April 2019). Sime Darby <u>clarified on their website</u> that Pundi group does not supply to Sime Darby. Pundi fulfilled the re-entry criteria of supplier Wilmar, including a commitment to a recovery plan (January 2020).
B16	Sept 2018	Mighty Earth	Alleged NDPE noncompliant companies with links to Unilever supply chain Mighty Earth with its partners Aidenvironment and MapHubs publishes regular "Rapid Response" reports, based on their monitoring on over 3,000 palm oil concessions in Indonesia and Sarawak. Mighty Earth filed a grievance against seven companies alleged to be out of alignment with Unilever's Sustainable Palm Oil Policy.	Unilever does not have any direct business relationship with ANJ Group, Tabung Haji, HSA group, JA Wattie, Musirawas, and Samuel. However, these are third- party/indirect suppliers that may enter Unilever's supply chain through trade, as identified by Mighty Earth reported potential indirect supply from the named companies through Unilever's suppliers that include:	 Unilever issued a response letter to Mighty Earth in October and November 2018 and engaged directly with the grievance raiser to discuss next steps. Unilever latest meeting with Mighty Earth was in December 2018. ANJ: With regards to the link to Unilever's supply chain with ANJ, in November 2018, Unilever started engagement with Gokul Agro to understand their view on the raised grievances against ANJ. Unilever is no longer buying from Gokul Agro. Other suppliers who previously declared they source for ANJ indicated they no longer source from ANJ. See also: Case B4. Tabung Haji: See also B14. HSA Group: See also B13. JA Wattie: Unilever's direct supplier Bunge has stopped sourcing from the supplier in February 2018. Upon verification we found that PT CG is not owned by JA Wattie. Cross-checking with our monitoring provider also found no noncompliance within PT CG. Musirawas: Unilever was previously linked through our direct supplier, Wilmar, which stated it has not sourced from Musirawas since August 2018 but continues to engage with them to encourage them to meet NDPE commitments. Apical has also engaged the Asam Jawa Group to request further clarification. PT Asam Jawa reiterated they have no management control of PT SP. More information available on Wilmar's <u>Grievance List</u> and Apical's grievance list. We

				KLK, Wilmar, GAR, Musim Mas, Cargill, IOI/Bunge, ADM, Louis Dreyfus, and Sime Darby.	 reiterated our request that suppliers exclude Musirawas from supply to Unilever in April 2020. Samuel: Wilmar has been engaging directly with Samuel. Samuel has signed a letter of commitment to Wilmar's NDPE policy and is also reported to be looking into various assessments. We will continue to monitor this progress through our direct supplier. More information available on Wilmar's <u>Grievance List</u> (August 2018). GAR does not source from PT PMM, but from another supplier confirmed to be under the same controlling shareholder. In December 2018 GAR conducted a site visit with TFT. PT PMM has met with GAR and discussed allegations of clearing done by a third party as well as HCS/HCV that PMM committed to at a group level. <u>Report</u> of the site visit can be accessed on GAR's website (January 2019). We continue to monitor how companies alleged to be NDPE noncompliant might be entering our supply chain through the palm oil mill list we conduct twice a year with Rainforest Alliance. We will reach out to these direct suppliers if they are found to be sourcing from such companies.
B15	May 2018	Greenpeace	 NDPE noncompliance allegations In a letter to major palm oil buyers, Greenpeace listed 26 alleged NDPE noncompliant palm oil producer groups (May 2018). Greenpeace published "Final Countdown," a report on the 26 companies and on brands and traders' alleged failure to implement NDPE commitments that extend to their entire supply chains (September 2018). AEP: see B11. ANJ: see B4. Bumitama: Greenpeace report alleging deforestation for plantation development, destruction of HCV, development on peatland, community land claims and lack of 	Unilever does not have any direct business relationship with AEP, ANJ, Bumitama (Lim Hariyanto family), Central Cipta Murdaya, Citra Borneo Indah/PT SSMS, Djarum. DTK Opportunity, GAMA, Genting, HSA Group, IJM Corporation, Indonusa, Korindo, Noble Group, NPC Resources, Rimbunan Hijau, Salim Group, Samling/Glenealy Plantations, Sungai Budi Group / Tunas Baru Lampung, Tabung Haji Plantations, Tee family / Prosper. These are potentially third-party/indirect suppliers that may enter Unilever's supply chain	 Unilever issued a response letter to Greenpeace on June 1st, 2018, outlining views on the key challenges raised i.e., sourcing links with highlighted producer groups, NDPE compliance and verification, and multi-stakeholder approach for industry reform. The letter also included sourcing links and actions taken with regards to each supplier on the list. Unilever reached out to our major direct suppliers that potentially still source from companies on the list to identify each supplier's position on the grievance and sourcing from the listed companies. See A6. AEP: See B11. ANJ: See B4. Bumitama had published a <u>sustainability report in 2016</u>, which includes objectives and targets for implementation of its sustainability initiatives for the years 2017 to 2024, a time bound plan for RSPO certification for all subsidiaries and smallholders, area set aside for conservation, and detailed statement on the legality and process surrounding the acquisition of its subsidiary. Unilever will be monitoring the grievance resolution and progress towards these objectives through our direct suppliers. In the Final Countdown report, IOI, Unilever's direct supplier and Bumitama JV partner, responded to the Greenpeace Sept 2018 allegations saying they are willing 'to put pressure on Bumitama's management as a substantial shareholder'. GAR published <u>a report</u> on their engagements with Bumitama in October 2018 with regards to the allegations raised in the Final Countdown report. It states that Bumitama would review the process of its acquisition method, and outlines action plans to comply with RSPO RaCP for concessions under grievance.

consultation or	through trade as identified	Our supplier Musim Mas is engaging with Bumitama to resolve the grievances
compensation. Also alleges	by Greenpeace.	(refer to Musim Mas' grievance list. Cargill will request for Bumitama's action
issues with governance of a	by Greenpeace.	plan on compensation from its direct suppliers. In April 2019, Bumitama issued a
plasma scheme and		response to allegations of clearing at PT LGI raised by its internal and external
cooperative and alleges		sources.
failure to meet timetables		 CCM: Unilever's direct supplier Musim Mas has been engaging with Hardaya
for RSPO certification		Plantations so that they undertake HCS and HCV assessment, assess legal
(January 2017).		compliance and establish an internal Standard Operating Procedure (SOP) on
• CCM: Deforestation		sustainability and NDPE. Information available on Musim Mas' grievance list.
allegations that between		Cargill reported that a moratorium is in place for Hardaya Plantation and there
November 2016 and		is active monitoring of the moratorium via Aidenvironment. CCM is not in
October 2017 PT HIP cleared		•
500 ha of secondary forest.		Cargill's and Bunge's direct supply chain. There is no additional development since the moratorium is in place.
-		
Citra Borneo Indah/PT SEME: See P2		Citra Borneo Indah/PT SSMS: See B2. Diamana United States and the base and a second
SSMS: See B2.		• Djarum : Unilever's direct supplier, GAR, has been engaging with HPI Agro with
Djarum: Between August 2015 and Sentember 2017		regards to NDPE compliance and monitoring PT GSK land clearance. More
2015 and September 2017,		information on our supplier's engagement with PT GSK can be found on the
allegations that PT GSK		GAR grievance list. Cargill initiated engagement with its direct supplier in
cleared 1,100 ha of peatland		February 2018. A moratorium is in place at PT GSK pending completion of
forest.		required assessments by the supplier. More information can be found on
• DTK: Between July 2016 -		Cargill's Indirect Suppliers Grievance List. With regards to allegations raised by
October 2017 allegations		Link-Ar Borneo in 2019, which included further clearing at PT GSK, supplier GAR
that PT LAIK cleared around		issued a <u>verification report</u> (June 2020) and <u>progress report</u> (latest June 2022).
1,200 ha of peatland forest.		• DTK Opportunity: Soil analysis was completed in June 2017 while HCV
Despite a stop work order		assessment was completed two years ago in February 2016. PT LAIK is aware
by Apical in January 2017,		that HCS analysis is needed before they can resume any land clearing activities.
allegations that the		PT LAIK was seeking consultants to help with their capacity to comply with
company continued		NDPE requirements. Wilmar's last purchase from DTK was in August 2017, and Wilmar is manifering programs. Consill is currently not buying from the
clearing.		Wilmar is monitoring progress. Cargill is currently not buying from the
GAMA/Ganda: Allegations		company. As reported in their <u>Grievance List</u> , Cargill initiated engagement with
that they developed around		their direct supplier in February 2018 on the allegations. Current owner of PT
1,500 ha between January		LAIK is identified to be Meadows Capital Sdn Bhd.
2016 and December 2016,		GAMA/Ganda: In August 2018, GAMA and Aidenvironment signed a joint statement on their collaboration in developing and implementing NDDE Boliny
through the clearing of		statement on their collaboration in developing and implementing NDPE Policy.
peatland forests or plantings		See also A8. Cargill currently does not source directly from any of the
in already cleared areas. PT		mentioned companies associated with GAMA/Ganda but were indirectly
GAN recently constructed a		impacted through its direct sourcing from Wilmar and other traders that also
new palm oil mill in West		source from Wilmar. However, with Wilmar's decision to cease all sourcing from
Kalimantan (reported in		these companies since 20th June 2018, its supply chain is no longer linked. In
March 2018). See also A8.		February 2019, Unilever met with GAMA and Aidenvironment in Jakarta.
Genting: Allegations that		Aidenvironment and GAMA provided updates on the progress GAMA has made
between March 2015 and		in terms of group consolidation, publication of concession maps, restoration,
June 2018, PT PSM cleared		peat rehabilitation, and HCS/HCV assessment. The latest progress report can be

FPIC for new development.Noble group: See B8.	they have reached out to their suppliers, two of which indicated they are no longer sourcing from Korindo, and one that has implemented a strict controlled-
rights to provide or withhold	2016. Information on Wilmar's <u>Grievance List.</u> Our supplier Cargill reported that
not respect local community	Sawaerma (2016). Wilmar has put on hold purchasing from Korindo in June
and that the company did	three concessions – Tunas Sawaerma A, Tunas Sawaerma B and Tunas
use of fire for land clearance	 Korindo: Korindo is reportedly committed to implementing moratorium in
are also allegations of the	Report (2023).
within its concession. There	 Indonusa published its NDPE Commitment, Sustainability Policy and Liability
preserve conservation areas	in April 2020.
effectively identify and	reiterated our request that suppliers exclude Indonusa from supply to Unilever
lowland forest and did not	supply chain. More information available on Cargill's <u>Grievance List</u> . We
cleared some tropical	 Indonusa: Unilever supplier Cargill stated that Indonusa is no longer in their
Mighty Earth, the company	matters relating to IJM Plantations.
• Korindo: As alleged by	 KLK acquired IJM Plantations (September 2021). Unilever engages with KLK on
continued since that time.	HCV-HCSA assessment to the HCVRN (December 2020).
Some clearing has allegedly	 IJM published their NDPE policy (February 2019) and submitted its integrated
1,000ha of primary forests.	<u>Grievance List</u> (February 2019).
including approximately	reported confirmed clearance stop and moratorium in place. Source: Bunge
cleared 5,000ha of forest	with their direct supplier, as noted on Cargill's Indirect Grievance List. Bunge
allegations that PT IJS	More information on Wilmar's <u>Grievance List</u> . Our supplier Cargill is engaging
, 2015 and May 2017,	prepared jointly by Wilmar and IJM. They are monitoring progress monthly.
Indonusa: Between January	Wilmar in May 2018. Terms of reference for the required assessment is being
deforestation.	on the HCSA assessment. A workplan for HCSA assessment was discussed with
to alleged links with	IJM immediately committed to a moratorium and Wilmar has offered support
Global in August 2015 due	• IJM Corporation: Our direct supplier Wilmar met with IJM in December 2017.
Government Pension Fund	Hayel Saeed Anam (HSA) group: See B12.
excluded from Norway's	the peer-reviewed HCSA report <u>here</u> .
companies that were	subsidiary PT CSC detected in 2019, please refer to Wilmar's grievance list and
Corporation is one of four	information available on KLK's Grievance list. For alleged clearance by Genting
secondary forest. IJM	RSPO. Our supplier KLK engaged with Genting to clarify the allegations,
cleared 150ha, mostly	action plans implemented by Genting. Cargill is monitoring the decision by
2017 allegations that PT PBP	others are pending a final decision from the RSPO Complaints Panel based on
July 2017 and November	complaints system. The case for one subsidiary has been resolved, while the
• IJM Corporation: Between	grievance (2016) facing three subsidiaries of Genting within the RSPO
group: See B12.	still being owned by Genting and BHD. Cargill is however aware of an open
• Hayel Saeed Anam (HSA)	issued a decision letter regarding the case. Cargill does not consider PT PSM as
orangutan.	the case at PT Susantri Permai, and in September 2018 RSPO Complaints Panel
alleged killing of an	announced publicly here. In March 2017, Genting issued a statement regarding
a RSPO complaint for the	 Genting: In March 2017, PT PSM had ceased to be a subsidiary of Genting, as
Susantri Permai is subject to	progress and address stakeholder concerns raised to Unilever (November 2019).
Genting subsidiary PT	 KPN Plantation (formerly GAMA group), met with Unilever to update on their
Kalimantan. Previously,	GAMA following good progress. More information on Wilmar's grievance list.
about 495ha forest in West	accessed here. Unilever's direct supplier Wilmar lifted their suspension on

• NP	C Resources: NPC	purchase mechanism with the supplier. Cargill is monitoring this engagement.
	sources was alleged to be	More information available on Cargill's grievance list. Korindo is not in
	plicated in the clearing of	Unilever's direct or indirect supply chain per June 2018.
	D ha peat forests in PT	Noble group: See B8.
	S between November	• NPC Resources: Our direct supplier, Wilmar, has been engaging with NPC on the
201	16 and September 2017.	allegations of NDPE noncompliance following their internal monitoring. NPC has
	nbunan Hijau: As alleged	reportedly appointed consultants to conduct relevant assessment at PT SAS.
	<u>Global Witness</u> and	The consultants are completing the report and has shared the draft report with
	eenpeace, this company is	Wilmar in August 2018. Wilmar continues to monitor PT SAS's activities
	imed to be responsible	monthly. More information on Wilmar's <u>Grievance List.</u>
	logging forests for palm	Rimbunan Hijau: Our direct supplier, Wilmar, has initiated engagement with
	concessions in Papua	Rimbunan Hijau since early September 2018 to seek clarifications on allegations
	w Guinea.	of deforestation at their Papua New Guinea site. Wilmar does not source from
• Sali	im group: see B3.	Gilford Ltd, but their parent company, Rimbunan Hijau is associated with
	mling/Glenealy	WIlmar's suppliers in Malaysia. Gilford Ltd has reportedly provided a written
	intations: EIA reported on	commitment in adhering to an NDPE policy and shared their concession maps
	estige Platform, a Samling	with RH group for further investigation. A stop work order is reportedly in place
	osidiary in Myanmar	while engagement is ongoing. More information on Wilmar's Grievance List.
	ich was accused of	• Salim group: see B3.
alle	eged: development of	• Samling/Glenealy Plantations: Wilmar engaged with Samling on the Myanmar
	nmunity land in	case in early 2017. A stop work order is in place since February 2017 and
	vanmar without FPIC and	Samling has engaged an assessor to ensure NDPE compliance. Wilmar has
	emical run-off polluted	initiated discussion with Samling on their operation in Indonesia, Malaysia, and
loca	al water sources.	Papua New Guinea (PNG) since August 2017. Samling was in the process of
Gre	eenpeace reported	divesting their PNG concession in 2017, and the divestment process was
alle	egations of deforestation	completed in early 2018. Samling has committed to a moratorium on land
in k	Kalimantan (2,867 ha),	clearing activities in their Malaysia and Indonesia operation. All the relevant
ille	gal deforestation outside	assessments must be conducted prior resuming their land clearing for palm
ofa	allowed area, use of fire	planting in the future. Wilmar met with Samling in January 2018 and conducted
for	land clearing in Papua	a site visit in Sarawak in March 2018. More information on Wilmar's Grievance
Nev	w Guinea and	List. Bunge has stopped sourcing from Samling in June 2018, as indicated in
def	forestation of 1,016ha	their Grievance List. With regards to alleged clearing in December 2018 in
wit	hin concession.	Samling-Lana plantations, Samling clarified the areas highlighted was harvesting
• Sur	ngai Budi Group (Tunas	of acacia trees planted within the Samling Group's Licensed Planted Forest (LPF)
Bar	ru Lampung): Between	concession and not oil palm. Source: KLK (December 2018).
Aug	gust 2015 and October	• In April 2020, Unilever engaged its suppliers with regards to recent land clearing
201	17, allegations that PT SUJ	allegations by Samling and requested our direct suppliers to suspend supplies
	ared 4,100ha of forest,	into Unilever's supply chain deriving from Samling.
	ostly on peat. Also,	Sungai Budi Group/Tunas Baru Lampung: Our direct supplier GAR had engaged
	egations that between	with PT TBL, conducted an analysis on PT SUJ and provided recommendations
-	ril 2016 and August 2017,	with regards to conducting a soil study and plan for peat restoration. However,
	SJP cleared 1,400ha of	GAR's internal monitoring still detected land clearing in both concessions in
pea	atland forest, including	2017 and PT SUJ in July 2018. Our direct supplier Wilmar has engaged with PT

			 700 ha after December 2016. Tabung Haji Plantations: See B14. Tee Family/Prosper: Alleged deforestation in Papua New Guinea (PNG) by Bewani Oil Palm Plantations (BOPPL) with alleged ownership ties to the Prosper Group of Companies/ Far East Holdings Bhd (1,146ha of alleged deforestation since July 2017). 		 TBL following their monitoring activity in 2016 and has secured PT TBL's commitment to adhere to an NDPE policy and halt further land clearing. Despite this commitment, Wilmar's internal monitoring detected ongoing land clearing activities. Wilmar's last purchase from TBL was on February 2018, as listed in their Grievance List. Musim Mas' last purchase was in June 2018 as indicated on their Grievance List. See also GAR Grievance List. Unilever reached out to suppliers with regards to allegations arising in 2019 against this supplier. Cargill declared TBL to be no longer in their supply chain. Source: Cargill's grievances. We reiterated our request that suppliers exclude Sungai Budi group from supply to Unilever in April 2020. Tabung Haji Plantations: See B14. Tee Family/Prosper: One of our direct suppliers met with Prosper to seek clarification in May 2018. Our suppliers Mewah and Bunge were no longer sourcing from Prosper in H2 2018, but Mewah continues to engage with Prosper and requested action plan implementation in January 2019. Our supplier, Cargill, has on several occasions raised the concern of alleged association of Prosper to Bewani 0il Palms in PNG. Prosper denies any form of association, stating that Bewani is an investment by its minority shareholder with no commercial links to the Group. Cargill have received written acknowledgment that Prosper is not commercially linked to Bewani and Prosper will not market any of Bewani's products. KLK engaged with Prosper in September 2018, and received feedback that neither Prosper Palm Oil Products Marketing Sdn Bhd nor Winner Acres Sdn Bhd has signed any agreement with the people of Bewani. Source: KLK's Grievance List. Prosper prepared a LUCA and acknowledgment of the liability for the historical land clearing in PNG. They are working with the Global Environment Centre (GEC) and have shared a recovery plan aimed to support the protection and rehabilitation of an initial area of lowland and peat swamp forest in parts of Bu
B14	April 2018	Chain Reaction Research, Greenpeace, Mighty Earth	Clearance of peatland and forests allegation • <u>Chain Reaction Research</u> reported the alleged clearance of peat and forests at the concessions of PT Persada Kencana Prima (PKP) and Hydroflow Sdn Bhd, 488 and 171 hectares respectively, in 2017. Tabung Haji Plantations allegedly acquired PT PKP	Tabung Haji Plantations (THP) Unilever does not have any direct business relationship with Tabung Haji Plantations. Please also refer to the <u>Unilever's Suspended or</u> <u>No-Buy List of Palm Oil</u> <u>Companies</u> (August 2024).	 September 24, 2018, Unilever issued a <u>public response</u> to the Greenpeace campaign "Final Countdown". Unilever issued a response letter to Mighty Earth in October 2018 and is engaging with Mighty Earth and direct suppliers to investigate the allegations. Unilever asked its major direct suppliers whether it was sourcing from directly or indirectly from THP. Wilmar reported in its <u>Grievance List</u> that THP still has a "stop work order" in place, and is developing an action plan in which it is undertaking further steps to strengthen sustainability practices. Wilmar engaged with THP in September 2018 and both parties agreed to appoint an independent assessor to conduct assessment of and map the area of contention. An independent assessor carried out field assessment at PT PKP in

			 and Hydroflow between 2012-2015 (April 2018). Greenpeace included Tabung Haji Plantations in a letter to major palm oil buyers alleging that they are a noncompliant NDPE palm oil company in May 2018 and again in the report "Final Countdown" (Sept 2018). In a letter to Unilever, Mighty Earth raised a grievance in <u>Rapid Response</u> 5 alleging THP of further deforestation of 217ha peat forests at PKP between April and June 2018. In April 2020, <u>Chain</u> <u>Reaction Research</u> published allegations of the planned development of 8,094ha of oil palm plantations on Deru Semangat Sdn Bhd (DSSB), in Mukim Tembeling, Pahang, Malaysia before High Carbon Stock (HCS) assessment were conducted. 		 October 2018. Wilmar noted that a proposed rehabilitation plan will be established if there is indeed land clearing. We continue to monitor this progress directly with Wilmar and through their public grievance update. Following indication of peat clearance at PT PKP captured by GAR's internal monitoring, GAR reached out to Trurich Resources (a JV between TH plantations and FGV). Given the feedback they received with regards to the grievance, GAR has deemed Trurich Resources as noncompliant with their grievance handling process. More information on GAR's grievance list (March 2019). Unilever reached out to our direct suppliers with regards to new indications of peat clearing at PT PKP in 2019. In 2020, stakeholders raised the Chain Reaction Research article alleging the planned development before HCS in DSSB, Pahang, Malaysia. Unilever immediately reached out to its deforestation monitoring partner and direct suppliers who have direct engagements with THP to better understand the allegations. We learned from our suppliers that TH Plantations has communicated the intention to terminate the Management Agreement to DSSB. Refer to Musim Mas' website (June 2020). Unilever issued a letter to its direct supplier requesting to impose a procurement suspension of TH Plantations at a group level for Unilever's palm oil supply chain including through indirect supply to Unilever (August 2020). Supplier Wilmar reported THP has met all requirements in their re-entry criteria (July 2021). THP was reported to establish a partnership with the Global Environment Centre (GEC) to develop its recovery project in and around Gunung Arong Forest Reserve in Johor, Malaysia. The latest progress update can be found on THP's website (June 2023).
B13	February 2018	Foresthints.com	Deforestation allegation Foresthints.com alleged that Unilever is linked to PT BHD (Bintang Harapan Desa) a mill that is claimed to be closely associated with palm oil concession PT PSM (Permata Sawit Mandiri) that itself is alleged to continue "to aggressively eradicate the habitat of the Bornean orangutan in order to develop new palm oil plantations in	Bintang Harapan Desa (BHD) Unilever does not have any direct business relationship with Bintang Harapan Desa or Permata Sawit Mandiri.	 Unilever has written to direct suppliers that had declared they previously bought directly or indirectly from PT BHD in 2017 regarding these allegations (February 2018). Our direct suppliers indicated to us that they are no longer purchasing from PT BHD and that the palm oil concession PT PSM is no longer connected to PT BHD (December 2018). PT Permata Sawit Mandiri is still included in <u>Unilever's Suspended or No-Buy List of Palm Oil Companies</u> (August 2024).

			Indonesian West Kalimantan's Ketapang regency."			
B12	December 2017	Greenpeace, Mighty Earth	 Clearance of protected forest allegation Greenpeace Deforestation Case Studies allege ownership linkages between the Hayel Saeed Anam (HSA) Group, Pacific Inter-Link, and four concessions in Boven Digoel, Papua. This report alleges that between 2015-2017 one of the concessions, PT KCP, cleared around 4,000 ha of mixed primary and secondary forest (December 2017). Greenpeace included the HSA Group in a letter to major palm oil buyers alleging that the HSA Group was a noncompliant NDPE palm oil company in May 2018 and again in the report "<u>Final Countdown</u>" (Sept 2018). In a September 2018 letter to Unilever, Mighty Earth raised a grievance in <u>Rapid Response 5</u> and <u>Rapid Response 7</u> alleging PT KCP and PT MJR of further deforesting 82ha peat forests between May and August 2018. Mighty Earth alleged four UAE companies believed to be affiliated with the HSA group to have majority share in the four concessions in Boven Digoel, Papua. 	Hayel Saeed Anam (HSA) Group Unilever does not have any direct business relationship with Pacific Inter-Link and Arma Foods. Please also refer to the <u>Unilever's Suspended or</u> <u>No-Buy List of Palm Oil</u> <u>Companies</u> (August 2024).	• • • • • • • • • • • • •	Unilever engaged Greenpeace regarding the findings of the Deforestation Case Study report and inquired about the HSA Group case (December 2017). Unilever reached out to the HSA Group after Unilever were made aware by Greenpeace of their possible noncompliance with Unilever's Sustainable Palm Oil Policy (December 2017). In January 2018, Unilever stopped buying from Pacific Inter-Link and Arma Foods (associated with the HSA group). Unilever issued a statement regarding Unilever's position on the HSA Group allegations (May 2018). HSA issued a statement that it has not invested in any palm oil concessions in Indonesia or elsewhere, and that while "HSA had previously considered such an investment, the organisation decided not to proceed after due diligence studies demonstrated there was no clear business case to do so" (June 2018). PIL group issued a sustainability charter in July 2018 and launched a sustainability dashboard in Q3 2018. More information is available on their website. Unilever issued a public response to the Greenpeace campaign "Final Countdown" that included our position on the HSA case (September 2018). Mighty Earth provided a letter to the HSA group (December 2018). Unilever continues to engage with Mighty Earth regarding this grievance. The last physical meeting was in November 2018 which was followed up by a conference call in December 2018. In February 2019, PIL issued a <u>Carification letter</u> to the RSPO complainats for review. Unilever met with PIL to discuss the case (March 2020). Unilever continues to engage and have direct meetings with Pacific Interlink with regards to PIL's sustainability roadmap. Unilever was present at the session hosted by the HSA group and RSPO at the RSPO RT to understand the progress and update on the RaCP process (November 2023). We were advised that the four concessions now have indirect share ownership relationship with two individuals and four holding companies based in the United Arab Emirates (UAE) whose owners are currently unknown (October 2023). Unilever me

B11	Nov 2017	Mighty Earth, Greenpeace	 The allegations, alleged ownership link to the HSA group, and the group's response to the allegations are raised again in <u>an article</u> by the Gecko Project in November 2018. Greenpeace and EIA raised a complaint to the RSPO against Pacific Inter-Link Sdn Bhd, Pacific Oils & Fats Industries Sdn Bhd, PT. Pacific Indopalm Industries, PT. Pacific Medan Industri, and PT. Pacific Palmindo Industri (HSA group companies). The case was accepted in November 2018 and can be found <u>here</u>. Deforestation allegation in Central Kalimantan Mighty satellite maps and animations of Anglo Eastern Plantation's clearing at PT Kahayan Agro Plantation (KAP) in Central Kalimantan from Oct. 16, 2016, until Aug. 27, 2017, claimed to evidence an allegations that prior to Oct 16, 2017, there were 3,593 ha of forest 	Anglo Eastern Plantations (AEP) Unilever does not have any direct business relationship with Anglo Eastern Plantations.	•	RSPO updated that an appeal lodged by the complainants is currently being processed (February 2024). Unilever reached out to its major direct suppliers that could potentially source from AEP to identify each supplier's position on the grievance and sourcing from the listed companies (September 2018). GAR has been engaging directly with AEP with regards to HCSA and monitoring the moratorium at PT KAP. Our direct supplier, Wilmar, is also engaging directly with PT AEP. In March 2018, AEP appointed PT Meganesia Tirta Foresta to conduct a HCS assessment at PT KAP and shared the results with our suppliers such as GAR and Wilmar. More information available on Wilmar's <u>Grievance List</u> . Following indication of new deforestation allegation in 2019, Unilever suppliers have reached out to AEP to request clarification. More information on GAR's engagement with AEP and HCSA can be found on their <u>grievance list</u> (May 2020). Unilever met with AEP in to understand the group's progress with regards to
			prior to Oct 16, 2017, there		•	on GAR's engagement with AEP and HCSA can be found on their <u>grievance list</u> (May 2020).
			 Greenpeace included AEP in the "Deforestation Case Studies" (not public) Dec 2017, a letter to major palm oil buyers alleging that they are a noncompliant NDPE 			

			 palm oil company in May 2018 and again in the report "<u>Final Countdown</u>" (Sept 2018). Allegations of further clearing at PT KAP was raised by Mighty Earth in <u>Rapid Response 14</u> (April 2019). 		
B10	May 2017	Greenpeace, Environmental Investigation Agency (EIA), Foresthints.com	 Deforestation allegation EIA submitted a grievance against a licensed HCV assessor, for allegedly advising as sustainable the clearance of ca 470,000ha of forests, believed to be controlled by groups such as: Bumitama, First Resources, Noble, PT Austindo Nusantara Jaya and Goodhope (May 2017). Greenpeace Deforestation Case Studies (not disclosed to the public) claimed to illustrate links between the ownership of First Resources, PT Fangiono Agro Plantation and Ciliandry Anky Abadi (CAA) through the Martias Fangiono family. Greenpeace alleged deforestation by CAA's subsidiary PT Agrindo Green Lestari of secondary forest with orangutan habitat (2017). Foresthints.com article stated Unilever is linked to the Ciliandry Anky Abadi (CAA) group, owner of palm oil mills (PT TM and PT BKI) 	Ciliandry Anky Abadi (CAA) First Resources (PT Limpah Sejahtera) Unilever does not have any direct business relationship with CAA or First Resources. Please also refer to the <u>Unilever's Suspended or</u> <u>No-Buy List of Palm Oil</u> <u>Companies</u> (August 2024).	 Unilever reached out to First Resources regarding the allegations made by Greenpeace about noncompliance with Unilever's Sustainable Palm Oil Policy on First Resources linked to the Ciliandry Anky Abadi (January 2018). Unilever has stopped buying from First Resources from Q1 2018. Unilever reached out to foresthints.com regarding the exact name of the mills allegedly present in Unilever's mill list linked to the CAA but received no response from foresthints.com (February 2018). In September 2018, First Resources clarified through statements linked to their grievance list that none of the companies named are subsidiaries and/or associated companies of First Resources, therefore there are no commercial links between them. First Resources tatement on CAA is available here. Unilever reached out to our major direct suppliers that potentially sourced from companies from CAA / First Resources to identify each supplier's position on the grievance and sourcing from the listed companies (September 2018). Wilmar reported to Unilever that their last purchase from CAA was in March 2018, as listed on their <u>Grievance List.</u> Musim Mas engaged with First Resources through mill verification and later to raise concerns on the allegations. For more information, refer to Musim Mas grievance list. In December 2018, a complaint was made to the RSPO alleging that First Resources/PT Limpah Sejahtera withheld information on peat planting as part of the RSPO RaCP Land Use Change Analysis (LUCA) for the compensation calculation, and after reviewing the evidence, the RSPO issued its findings in March 2019, Unilever met with First Resources to discuss sustainability roadmap, grievances resolution, leverage on associated companies, and communicating progress. The case is transferred to the RSPO Investigation and Monitoring Unit in May 2019. See statement issued by the RSPO Complaints Panel.

В9	B9 April 2017	Oxfam, Guatemala	 responsible for the deforestation of Borneo's peat forest. There is no clarity to the exact names of these mills, but the names of the mills allegedly can be found in Unilever's 2017 palm oil mill list of direct and indirect suppliers (February 2018). Greenpeace included First Resources in a letter to major palm oil buyers alleging that First Resources was a noncompliant NDPE palm oil company in May 2018 and also in the report "Final Countdown" (Sept 2018). Alleged land and community noncompliance in Guatemala 	REPSA (Reforestadora de Palma S.A.)	REPSA issued a response to the open NGO letter (May 2017) and a <u>Sustainability</u> Action Plan for 2016-2017. REPSA and TFT have agreed to continue working
		Human Rights Commission, and coalition of NGOs	 Allegations of a spill of toxic effluent in Pasión River and threats made to human rights defenders, Guatemala. Oxfam published a blog update on REPSA (April 2017). Open letter from NGOs to all buyers of REPSA (April 2017). Open letter from NGOs to buyers of REPSA (June 2021). Joint letter from civil society organizations on REPSA (January 2022). 	Unilever does not have any direct business relationship with REPSA on a group level.	 together in Guatemala around a (1) Sustainability Action Plan, (2) Stakeholder engagement and transparency; (3) multi-stakeholder workshop/dialogue, and (4) Integrated Social Management System (ISMS). First, second, and final progress reports can be found on their website. In 2017, Unilever found that REPSA oils potentially came into Unilever's supply chain via Cargill and Wilmar. Unilever reached out to Cargill and Wilmar in January 2018, and both confirmed to Unilever and in their subsequent grievance lists that they have put on hold purchases from REPSA in 2017. Cargill engaged with the supplier and stated that it no longer buys from REPSA. Cargill is engaging REPSA on a re-engagement plan (2018). Detailed information on their engagement is available on Cargill's grievance list. Wilmar engaged with the supplier and stated that it no longer buys from REPSA from December 2017. Detailed information on this engagement is available on Wilmar's Grievance List. With regards to the open letter raised in 2021, Unilever confirms that it has no direct business with REPSA, but that it may be indirectly linked through trade with two suppliers in 2020. The first, Naturaceites, reported that their logistical arrangement with a Grupo HAME mill ended in 2020. We notified the other supplier, a chemical manufacturer in the United States, of the concerns raised and the supplier removed REPSA from its supply chain in 2021.

					 REPSA published a <u>Sustainability Policy</u> (2021) and a <u>Sustainability Action Plan</u> including aspects of human rights due diligence (2022). We continue to engage with our direct suppliers on the progress of REPSA.
88	January 2017	Greenpeace, Environmental Investigation Agency	 Deforestation allegation Greenpeace released the report <u>"Dirty Bankers"</u> making allegations with respect to certain major banks and their financing of palm oil companies (January 2017). EIA submitted a <u>grievance</u> against a licensed HCV assessor, for allegedly advising as sustainable the clearance of ca 470,000ha of forests, believed to be controlled by groups such as: Bumitama, First Resources, Noble, PT Austindo Nusantara Jaya and Goodhope (May 2017). <u>HSBC requests RSPO to</u> <u>investigate allegations</u> of deforestation by Noble Plantations in Papua; RSPO advises Noble to suspend development on the concession (July 2017). Greenpeace release "Palm Oil Alert 4" including allegations concerning Noble, Korindo, and POSCO Daewoo (September 2017). Greenpeace included the Noble Group in a letter to major palm oil buyers alleging that the Noble Group was a noncompliant NDPE palm oil company in May 2018 and again in the 	Noble Group Unilever does not have any direct business relationship with the Noble Group. Please also refer to the <u>Unilever's Suspended or</u> <u>No-Buy List of Palm Oil</u> <u>Companies</u> (August 2024).	 Unilever was made aware of the allegation on noncompliance of Unilever's Sustainable Palm Oil Policy by Noble Plantation through the Greenpeace "Dirty Bankers" report. Since then, Unilever has engaged with the Noble Group (COFCO) regarding its alleged links to Noble Plantations. Unilever decided in October 2017 not to continue business with Noble Natural Resources India (Noble Group/COFCO). Noble published a <u>Sustainability Information Document</u>, and submitted a <u>letter</u> to the complaints panel, citing a "stop work' order has been put in place in PT Pusaka Agro Lestari (October 2017). Unilever's direct supplier, Musim Mas, is engaging with Noble to follow up on the progress of this sustainability plan. More information available on the Musim Mas grievance.list. Their last purchase is in December 2016. The RSPO Complaints Panel decided in January 2018 to proceed with independent verification of the assessments conducted by Noble. The RSPO Complaints Panel issued a <u>decision letter</u> (February 2019), requesting the company to undergo the Remediation and Compensation Process (RaCP) and submit a remediation plan. Noble is resigning from the RSPO (May 2020). RSPO CP issued a <u>letter</u> in response. Unilever's indirect supply chain per June 2018.

			report " <u>Final Countdown</u> " (Sept 2018).		
B7	November 2016	Amnesty International, Environmental Investigation Agency (EIA)	 Alleged labor exploitation issues BEST group was a supplier of Wilmar with alleged labor exploitation in an Amnesty International Report, "The <u>Great Palm Oil Scandal</u>" (November 2016). <u>A Central Kalimantan court</u> <u>ordered the local</u> <u>government to review the</u> <u>plantation permits</u> of companies implicated in the 2015 haze, after a case was submitted in 2016: Wilmar, BEST, GAR, and Bumitama included (2017). 	BEST Group Unilever does not have any direct business relationship with the BEST Group. Please also refer to the <u>Unilever's Suspended or</u> <u>No-Buy List of Palm Oil</u> <u>Companies</u> (August 2024).	 Unilever sent PT Global Interinti a letter of concern, dated December 2, 2016, on the allegations raised by Amnesty International. Unilever stopped buying directly from the BEST Group in May 2016. Wilmar put on hold purchases from PT BEST from <u>June 2017</u>. We reiterated our request that suppliers exclude BEST from supply to Unilever in April 2020. BEST Group mills are not in Unilever's direct or indirect supply chain in 2022 and 2023.
B6	November 2016	Rainforest Action Network (RAN)	Deforestation in Leuser Ecosystem (Aceh) allegation RAN released a report "Protecting the Leuser Ecosystem" (2016) alleging illegal deforestation of elephant habitat within the Leuser Ecosystem and mills in the Singkil Bengkung area that are allegedly at risk for sourcing "Conflict Palm Oil". The Rainforest Action Network (RAN) through the Leuser Watch campaign published a report alleging that the palm oil company PT Surya Panen Subur II (PT SPS II) was responsible for the destruction of thousands of hectares of the Tripa peat land/forest in Aceh. Initial	PT Surya Panen Subur II Unilever does not have any direct business relationship with PT Surya Panen Subur II (PT SPS II). Please also refer to the <u>Unilever's Suspended or</u> <u>No-Buy List of Palm Oil</u> <u>Companies</u> (August 2024).	 Unilever has been engaging with RAN and major direct suppliers, directly and via email. Unilever reached out to direct suppliers to understand their sourcing relationships with PT SPS II. Most of Unilever's direct suppliers have ceased sourcing from PT SPS II, and one is halting new procurement and asking PT SPS II to create and execute a time-bound plan by the end of 2018 (October 2018). GAR supported PT SPS in their Collaboration for Transformation (CFT) programme, which completed conflict resolution process implementation (2017). For each new allegation in 2015-2018, SPS II confirmed new land clearing and burning and reiterated that the clearance was committed by external parties. GAR and SPS II discussed and agreed upon actions for conflict resolution, which included building and improving communication with "land clearing actors", local, provincial, and international stakeholders, including RAN. A high-level meeting was held between GAR and SPS II, during which GAR asked for a more concrete solution for the ongoing deforestation caused by encroachment in SPS II concession. More information on <u>GAR grievance list</u> (July 2018). Musim Mas do not list SPS II in their grievance list but have engaged with other suppliers in the Singkil regency (Pati Sari, Sisirau, Mopoli Raya, Ensem Sawita, Ensem Lestari, Bangun Sempura Lestari). More information on Musim Mas' grievance list.

			findings were found in 2014. PT SPS was found <u>guilty</u> by the State Prosecutor's Office of clearing of peat forests (2016). RAN has further <u>reported</u> allegations that there continues to be evidence of deforestation occurring in January and March 2018 during which heavy machinery was used to clear forest for palm oil development, and in some cases the use of fire.		 Wilmar reported that PT SPS II has been transparent in updating Wilmar regularly on their progress in addressing issues raised by RAN, and Wilmar continue to support PT SPS II in addressing challenges of alleged occupation on the unopened area, that was set aside under the moratorium. Wilmar's last purchase was in June 2018. Field verification by ministry officials (KLHK) and Wilmar's meeting with PT SPS II took place in July 2018. More information available on Wilmar's <u>Grievance List</u>. ADM reached out to supplier Wilmar and are satisfied with their handling of the issue. <i>Source:</i> ADM Grievances and Resolutions Summary Table. Bunge states it does not directly supply from the area but may be indirectly exposed through traders. In December 2018, PT SPS II is no longer in Bunge's supply chain. Source: Bunge Loders Croklaan grievance list. Cargill in 2017 requested their direct suppliers (Wilmar, GAR, Musim Mas) to share action plans for Leuser monitoring. They have been proactively monitoring the Leuser landscape for mill assessment opportunities and supporting other landscape-level deforestation monitoring & response systems which can strengthen the work in Leuser. Surya Panen Subur II is no longer in Cargill's supply chain. Source: Cargill indirect grievance list. Following concerns of alleged ongoing clearings in the Leuser ecosystem, Unilever contacted suppliers to reconfirm PT SPS II is not in their supply chain (May 2019).
B5	Nov 2016	Rainforest Action Network (RAN)	 Deforestation in Leuser Ecosystem (Aceh) allegation RAN released a report "Protecting the Leuser Ecosystem" (2016) alleging illegal deforestation of elephant habitat by PT. ABN (alleged to be connected to Wilmar) within the Leuser Ecosystem. This is followed up by another article by RAN (February 2017) and a report (July 2017) alleging that PT Agra Bumi Niaga (PT ABN) is responsible for ongoing clearance in 2017. Guardian article alleged Unilever and other big brands of "complicity in rainforest destruction" due 	Agra Bumi Niaga (PT ABN) PT ABN sold FFB to PT Ensem Sawita, a mill that supplied to Unilever's direct supplier Wilmar, Musim Mas, and GAR. Unilever does not have any direct business relationship with PT ABN.	 Unilever wrote to Wilmar, Musim Mas, and GAR regarding the allegations and are monitoring action plans conducted with these traders (2017). PT Ensem Sawita confirmed that all purchases of palm oil from PT ABN have ceased (August 2017). This information, along with key engagements done by GAR and Musim Mas are reported by GAR in September 2017. ABN is not in Unilever's indirect supply chain per June 2018. In February 2020, RAN informed Unilever that they see the adoption of commitment of NDPE and HCV/HCS by Agra Bumi Niaga as a "credible response to address the deforestation previously carried out by the companies, which should trigger a re-entry process." Unilever does not have any direct contract with any of the above suppliers but supports our suppliers' decision to continue engaging with Agra Bumi Niaga.

			to oil coming from PT ABN to in the supply chain (2017).			
B4	Sept 2016	Greenpeace, Environmental Investigation Agency (EIA), Foresthints.com , Mighty Earth	 Deforestation allegation In September 2016, Greenpeace report 'Deadly Trade-off' included ANJ for the alleged actions of two of its concessions in West Papua, managed by PT Permata Putera Mandiri and PT Putera Manunggal. Both companies are accused in the allegations of destruction of primary forest, development without consultation of local communities and for absence of a FPIC process. EIA submitted a grievance against a licensed HCV assessor, for allegedly advising as sustainable the clearance of ca 470,000ha of forests, believed to be controlled by groups such as: Bumitama, First Resources, Noble, PT Austindo Nusantara Jaya and Goodhope (May 2017). Greenpeace Deforestation Case Studies (not disclosed to the public) contained allegations of continued deforestation in West Papua in the second half of 2017. Foresthints.com stated allegations that Unilever is connected to ANJ which has been clearing High Carbon Stock (HCS forests) in Papua since 2017 (March 2018). 	Austindo Nusantara Jaya Unilever does not have any direct business relationship with PT ANJ.	•	ANJ adopted a "No Deforestation, No Peat, No Exploitation (NDPE)" <u>policy</u> in November 2016. Unilever wrote to suppliers who had previously declared that they have bought directly or indirectly from ANJ mills regarding these allegations (March 2018). Unilever wrote to Foresthints.com, noting that Unilever does not have any direct contracts with the palm oil mills (including those owned by ANJ) highlighted in the Foresthints.com articles, and was contacting direct suppliers who declared the mills to find out if they are still currently sourcing from these mills (March 2018). Unilever issued a response letter to Greenpeace in June 2018, outlining views on the key challenges raised i.e., sourcing links with highlighted producer groups, NDPE compliance and verification, and multi-stakeholder approach for industry reform. The letter also included sourcing links and actions taken with regards to each supplier on the list. Unilever engaged independent consultants to identify which mills of the listed companies by Greenpeace were in the Unilever's supply chain. Unilever issued a public response to the Greenpeace campaign "Final Countdown" (September 2018). Unilever issued a public response to the Greenpeace campaign "Final Countdown" (September 2018). Wilmar and GAR have reported in public grievance lists that they do not source from the supplier. Musim Mas' last purchase was in 2015 but it continued to engage the supplier on HCS/HCV afterwards. See Musim Mas <u>grievance list</u> . KLK has stopped trading relationship with ANJ since December 2016. Cargill adopted a "no-buy" position on ANJ in October 2016 due to noncompliance in ANJ's Papua operations, and has been engaging with their direct supplier Permata Hijau Group (PHS) on the matter. More information is available on Cargill's <u>Grievance Log</u> . Bunge has stopped sourcing from ANJ, per its latest <u>grievance list</u> and mills list Q4 2018. GAR engaged ANJ regarding the suspended supplier re-engagement protocol checklist (May 2019). In line with this protocol, ANJ has commi

			 Greenpeace included ANJ in a letter to major palm oil buyers alleging that they are a noncompliant NDPE palm oil company in May 2018 and again in the report "<u>Final Countdown</u>" (Sept 2018). In a September 2018 letter to Unilever, Mighty Earth raised a grievance in <u>Rapid</u> <u>Response 4</u> and <u>Rapid</u> <u>Response 6</u> alleging ANJ of further deforesting 82ha peat forests between May and July 2018. In November 2018, <u>Chain</u> <u>Reaction Research reported</u> allegations that ANJ's continued land clearing violated the newly adopted RSPO P&C which requires its members to adhere to the Assessor Licensing Scheme (ALS) of the combined High Conservation Value (HCV) – High Carbon Stock (HCS) approach. 		 ANJ has met the criteria for re-entry set by supplier Wilmar, which includes the development of a recovery plan for noncompliant clearing since cut-off date (March 2021).
В3	June 2016	Rainforest Action Network (RAN), OPPUK, ILRF, Greenpeace, Aidenvironmen t, Rainforest Foundation Norway, and SumofUs	Allegations of Labor rights violations In June 2016, RAN, OPPUK and ILRF published a report titled, " <u>The Human Cost of</u> <u>Conflict Palm Oil</u> " which alleged that Child labor, exposure to highly hazardous pesticides, payment below the minimum wage, long-term reliance on temporary workers to fill core jobs, and the use of company-backed	Salim Group/Indofood Agri/Indogunta Unilever does not have any direct business relationship with the Salim Group/Indofood Agri/Indogunta. Please also refer to the <u>Unilever's Suspended or</u> <u>No-Buy List of Palm Oil</u> <u>Companies</u> (August 2024).	 Unilever wrote to the Rainforest Action Network (RAN) regarding these allegations and that appropriate steps must be taken by Indofood and monitored by our suppliers who are still sourcing from Indofood (February 2017). Indofood Agri uploaded a <u>Sustainability Policy</u> on their website (August 2017). Unilever wrote to suppliers who had declared that they have bought directly or indirectly from Indofood mills in 2017 regarding these allegations. KLK has put on hold purchases from Indofood with effect from September 2016. Source: KLK's <u>Grievance List</u>. On their <u>grievance list</u>, Musim Mas outlines their engagement with Indofood Agri, RSPO and NGO stakeholders on this matter. Musim Mas invited all stakeholders to urge both the RSPO and Indofood Agri to prioritise the case and for both parties to report progress publicly, draft and abide by a time-bound

 unions to deter independent labor union activity, among other findings had all occurred on the plantations in Indofood Agri operations. Alleged clearance and labor issues in Indofood Agri were reported again in the Greenpeace report <u>"Deadly Trade-Off"</u> (Sept 2016). RAN filed a formal complaint at the RSPO against PT PP London Sumatra and its parent company PT Salim Ivomas Pratama Tbk. (October 2016). <u>Greenpeace dismissed new</u> palm oil policy issued by Indofood's agriculture subsidiary Indofood Agri Resources Ltd, "as inadequate in both substance and scope" (March 2017). <u>Greenpeace issued a palm</u> oil alert for Indofood/Salim Group, citing environmental and social issues (May 2017). <u>ILRF update</u> reported their follow-up investigations to 	 action plan, publish verification results, and provide an open channel for communication with all stakeholders (February 2018). Unilever issued a <u>public statement</u> on the allegations against the Salim Groups stating that Unilever asked our suppliers to ensure that no palm oil sourced by them comes from the Salim Group companies (May 2018). RSPO field verification <u>planned for June 2018</u> (this was halted by complainants not revealing exact location, but Indofood Agri have sent a <u>Non-reprisal</u> to the RSPO confirming that there will be no intimidation of workers/complainants). Musim Mas announced a temporary cessation in business relationship with Indofood Agri since August 2018. More information is available on <u>Musim Mass website</u> (September 2018). Unilever met with RAN to discuss the concerns (October 2018). RSPO Complaints Panel issued a <u>Final Decision Letter</u> (November 2018). The letter followed an independent verification at mill and plantations of PT Lonsum in April 2018. It reported several findings that RSPO urged Indofood Agri to resolve in 3-6 months. In November 2018, Unilever <u>issued a public statement</u> responding to the decision of the RSPO Camplaints Panel. Musim Mas, Unilever, and several other suppliers and buyers issued an open letter on the Indofood Agri case. The letter can be accessed here (November 2018). In January and February of 2019, Indofood Agri companies (PT Salim Ivomas Pratama and PT PP London Sumatra Indonesia) issued <u>letter of termination</u> of membership. In the same month, RSPO issued a <u>letter of termination</u> of membership. In the same month, RSPO issued a <u>letter of termination</u> of membership. In the same month, RSPO issued a letter of termination of membership of the two companies.
Ivomas Pratama Tbk. (October 2016).	in April 2018. It reported several findings that RSPO urged Indofood Agri to resolve in 3-6 months.
 <u>Greenpeace dismissed new</u> <u>palm oil policy</u> issued by Indofood's agriculture subsidiary Indofood Agri Resources Ltd, "as inadequate in both substance and scope" (March 2017). <u>Greenpeace issued a palm</u> <u>oil alert</u> for Indofood/Salim Group, citing environmental and social issues (May 2017). <u>ILRF update</u> reported their 	 resolve in 3-6 months. In November 2018, Unilever issued a public statement responding to the decision of the RSPO Complaints Panel. Musim Mas, Unilever, and several other suppliers and buyers issued an open letter to the RSPO, asking for improvements to the complaints system, especially with regards to clarity and transparency. This follows RSPO's decision letter on the Indofood Agri case. The letter can be accessed here (November 2018). In January and February of 2019, Indofood Agri companies (PT Salim Ivomas Pratama and PT PP London Sumatra Indonesia) issued letters of resignation from the RSPO membership. In the same month, RSPO issued a letter of termination of membership of the two companies. No Indogunta group mills are present in Unilever supply chain in 2019. Unilever listed mills and plantations identified as belonging to the Indogunta group in a

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			 Chain Reaction Research release new report "Sawit Sumbermas Sarana: Supplying the Palm Oil Leakage Market, Risks for Purchasers" (June 2017). Foresthints.com reported allegations that PT BSG, a subsidiary of PT SSMS was developing new palm oil plantations in sections of targeted peat restoration areas. (April 2018). Greenpeace included SSMS in a letter to major palm oil buyers alleging that SSMS was a noncompliant NDPE palm oil company in May 2018 and again in the report "Final Countdown" (Sept 2018). 	<u>No-Buy List of Palm Oil</u> <u>Companies</u> (August 2024).	 Station concluded the area in question was not peatland. PT BSG has ceased land clearance in the area and PT SSMS is working with the Peat Restoration Agency (BRG) to clarify peat mapping and soil composition. Unilever supplier Bunge has stopped sourcing from PT SSMS, as is indicated on their grievance list (accessed February 2019). Unilever continues to monitor progress and engage directly with SSMS in the action plans and remediation plans relating to the allegations, and had since met with SSMS and its sustainability partner Daemeter in September 2019 and January 2020. SSMS updated Unilever on its roadmap to be 100% RSPO certified by 2020. More information available <u>here</u>. SSMS published its commitment to NDPE and action plan for PT SML and PT SYK on their website (available <u>here</u>) with the assumed start date in January 2022.
B1	October 2015	Coalition of civil society groups, Greenpeace, Chain Reaction Research	 Allegations of labor rights violations and deforestation FELDA-FGV RSPO complaint was made related to allegations on breached labor conditions in their plantations, following an article on the Wall Street Journal (October 2015). RSPO issued a response to the report, reporting it requested ASI to conduct a compliance audit on the certification bodies involved and an investigation audit to the Felda operations in the area linked to the allegations. The resulting audit and investigation reports are available here. 	FELDA/Felda Global Ventures (FGV) Unilever does not have any direct business relationship with FELDA/FGV. Please also refer to the <u>Unilever's Suspended or</u> <u>No-Buy List of Palm Oil</u> <u>Companies</u> (August 2024).	 Deforestation on Peat Allegations Unilever engaged IFFCO (Malaysia) Bhd. and FELDA-IFFCO regarding allegations by Greenpeace through the July 2017 Palm Oil Alert. FGV informed on the <u>enhancement of the peat protection clause</u> in the Group's Sustainability Policy (August 2017). Unilever reached out after receiving a report by Greenpeace about their alleged noncompliance with Unilever's Sustainable Palm Oil Policy (January 2018). Unilever no longer sources directly from Felda-IFFCO since January 2018, but continued to engage with FGV and IFFCO to discuss their sustainability plans (August - November 2018). FGV published sustainability updates in January and April 2018, which contain updates on FGV's Social Compliance and Human Rights action plan and Peat Restoration Master Plan. FGV Announced that it had submitted a Peat Restoration Plan for approval to the Ministry of Environment and Forestry (KLHK). Unilever's supplier, KLK, met with FGV in December 2018 and updated that peat restoration has started in the area categorized under "Protection", and there will be no replanting in this area. For existing peat under 'Economic' category, their hydrological function would be maintained. More information on KLK's Grievance List.

	• In November 2015, A	 Unilever is also monitoring progress through supplier Sime Darby, which has
	coalition of civil society	indicated it purchased from FGV (not from its Indonesian operations) and is
	groups issued a <u>response</u> to	engaging with FGV directly. Information is available on Sime Darby's Grievance
	the investigation.	Register (April 2019).
	• In a letter, the coalition of	 Cargill continues to directly engage with FGV. FGV provided an update that they
	civil society groups	are mediating community pressure for development and stepping up
	recommended buyers and	monitoring for community fires and encroachment in Kalimantan. More
	investors to require Felda to	information on Cargill's direct supplier Grievance Log (accessed April 2019).
	publicly release a Policy on	 RSPO Complaints Panel issued a decision letter with regards to the 1,600 ha peat
	Employment of Migrant	clearing in Kalimantan. PT TAA to carry out a new soil survey and report on
	Labor and Corrective Action	engagement with aggrieved communities within 30 and 60 days respectively
	Plan within three months	(October 2019). Survey plan had been submitted.
	(September 2016).	 RSPO Complaints Panel issued a letter responding to the Respondent's proposal
	 Allegations that <u>FGV</u> 	for soil survey (July 2020). Complaint is closed and transferred to the
	continued to clear peat	Investigation and Monitoring Unit (IMU) for monitoring (August 2020).
	forest at PT TAA in West	 The Complaints Panel issued a <u>decision</u> with regards to Post Complaint
	Kalimantan. Drone images	Monitoring and case is officially closed (June 2022).
	alleged to show ongoing	
	deforestation (April 2017). A	Labor issues allegations
	case is logged at the RSPO	 FELDA/FGV submitted an <u>action plan</u> to the RSPO, and RSPO Secretariat
	Complaints Panel.	formulated a verification exercise (2016-2017).
	 Greenpeace released a Palm 	 Unilever engaged with IFFCO (Malaysia) Bhd. and FELDA-IFFCO regarding
	Oil Alert on Felda allegations	allegations brought by Greenpeace through the July 2017 Palm Oil Alert.
	and calls for measures to be	 Unilever reached out after receiving a report by Greenpeace about their alleged
	taken by companies (July	noncompliance with Unilever's Sustainable Palm Oil Policy (January 2018).
	2017).	 FGV announced <u>enhancement</u> to FGV's sustainability policy focusing on peat
	 Greenpeace included 	management, HCS Approach adoption, human rights, worker welfare, and local
	FELDA/FGV in a letter to	communities. (April 2018).
	major palm oil buyers	 Unilever no longer sourced directly from Felda-IFFCO and IFFCO since January
	alleging that FELDA/FGV was	2018, but continued to engage with FGV and IFFCO to discuss their
	a noncompliant NDPE palm	sustainability plans (August - November 2018).
	oil company in May 2018	 RSPO Complaints Panel delivered a decision letter to complainants. In a
	and also in the report " <u>Final</u>	response to the decision, FGV stated that it has "frozen all new recruitment of
	Countdown" (Sept 2018).	workers from external contractors across its operations, with immediate
	 RSPO Complaints Panel 	effect." (November 2018)
	issued a <u>decision letter</u>	• Unilever's supplier, KLK, met with FGV in December 2018 and updated on the
	following an independent	labour rights allegation highlighted in the RSPO Complaints Panel Decision
	verification visit (November	Letter, FGV confirmed that it is preparing an action plan, targeted by February
	2018).	2019. More information on KLK's <u>Grievance List</u> .
		RSPO Complaints Panel <u>closed the case</u> on labor conditions, and <u>granted</u> FGV's
		request for an extension to submit an Action Plan and quarterly progress report
		(February – March 2019).
		 FGV released its Action Plan and first quarterly progress report (April 2019).

	 FGV submitted request for certificate suspension lifting. RSPO lifted the suspension on Serting Complex (Aug – Sept 2019). Fair Labor Association (FLA) announced affiliation of FGV (November 2019). Action plan targeted to be published by end of March 2020. FLA will publish reports documenting the activities against the deliverables outlined in the action plan, first in Q3 of 2020, and second by Q1 of 2021. RSPO Complaints Panel issued a decision letter on FGV. FGV published a response to the RSPO Complaints Panel decision letter, attaching auditors' findings from its October 2019 assessments (January 2020). FGV released its <u>Action Plan and first quarterly progress report</u>, developed in affiliation with the Fair Labor Association (FLA) (April 2020). Background and summary of the action plan and progress report to the RSPO with a note on revised timeline (July 2020). FLA Assessment Reports on the Implementation of FGV's Action Plan to Enhance Labour Practices are published on the FLA website (March 2021). Following the <u>Withhold Release Order (WRO)</u> issued by the US Customs and Border Protection in September 2020, FGV <u>announced</u> its intention to work with ELEVATE as an independent auditing firm to assess FGV's operations against the 11 International Labour Organization (ILO) Indicators of Forced Labour (November 2021). Following the Complaints Panel's review of FGV's compliance with directions in the RSPO's decision letter, the post-complaint monitoring and complaint is closed (June 2022). FoV announced that it has reimbursed recruitment fees amounting to RM72.2 million, of the allocated RM112 million, to close to 20,000 workers and is working to strengthen its procedures for the recruitment of migrant workers with the support of LRQA (formerly ELEVATE). It plans to petition to the CBP to modify the WRO in Q2, 2024 (January 2024).
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